2014 San Antonio Breast Cancer Symposium: Surgical and Radiation Updates

### Mylin A. Torres, M.D.

Associate Professor Department of Radiation Oncology Winship Cancer Institute Emory University

## Abstracts

- 1. A Large Prospectively-Designed Study of the DCIS Score: predicting recurrence risk after local excision for ductal carcinoma in situ patients without irradiation (S5-04)
- 2. The Connecticut Experiment: 4 years of screening women with dense breasts with bilateral ultrasound (S5-01)
- 3. Final Survival Analysis from the Randomized Women's Intervention Nutrition Study (WINS) Evaluating Dietary intervention as Adjuvant Breast Cancer Therapy (S5-08)

## Abstracts

- 4. Accelerated Partial Breast Irradiation using Intensity Modulated Radiotherapy versus Whole Breast Irradiation: 5-year survival results of a phase 3 randomized trial (S5-03)
- 5. Underutilization of Hypofractionated Radiation Therapy in Breast Cancer Patients
  - a) Utilization of Hypofractionated Radiation Therapy for Early Stage Breast Cancer in Women over 50 years of age (P1-15-02)
  - b) The Adoption of Hypofractionated Whole Breast Irradation for Early-Stage Breast Cancer: A national cancer data base analysis (P1-15-03)
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### A Population-Based Validation Study of the DCIS Score Predicting Recurrence Risk in Individuals Treated by Breast-Conserving Surgery

Rakovitch E, Nofech-Mozes S, Hanna W, Baehner FL, Saskin R, Butler SM, Tuck A, Sengupta S, Elavathil L, Jani PA, Bonin M, Chang MC, Robertson, S, Slodkowska E, Fong C, Anderson JA, Jamshidian F, Cherbavaz DB, Shak S, Paszat L

> Sunnybrook Health Sciences Centre Institute for Clinical Evaluative Sciences LC Campbell Chair in Breast Cancer Research Scientist, Sunnybrook Research Institute Associate Professor, Department of Radiation Oncology University of Toronto

> > 2014 San Antonio Breast Cancer Symposium



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### Background

- DCIS is associated with high survival but treatment is recommended due to risk of recurrence (DCIS or invasive cancer)
  - Breast-conserving surgery (BCS) often followed by radiation
- BCS alone is an option for individuals with low risk of local recurrence
- Traditional clinical and pathologic factors do not reliably identify individuals at low risk of recurrence after breast-conserving surgery
- Biomarkers needed to improve risk assessment of individuals with DCIS treated by breast-conserving surgery





- E5194, prospective cohort study of selected individuals treated by breast conserving surgery alone (no radiation)
  - $\leq 2.5$  cm, nuclear grade 1 or 2
  - ≤ 1 cm nuclear grade 3
  - Resection margins > 3 mm
- 327 cases analyzed to examine the association of the DCIS Score and local recurrence



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## ECOG E5194

- Median Age 60 yrs (28-88 years)
  -75% greater than age 50
- Median tumor size:
  - 6mm (Low to intermediate grade)
  - 5mm (High grade)
  - 87% of tumors were less than 1.0cm
- 73% post-menopausal
- Median f/u of 6.2 yrs



### Oncotype DX DCIS Score

- Multigene expression assay
- 12 of 21 genes from Oncotype DX Recurrence Score
- · DCIS Score:
  - Continuous score (0-100)
  - 3 pre-specified risk groups: Low < 39 Intermediate 39 - 54High  $\geq 55$



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 Provides individualized estimates of the 10-year risk of local recurrence in patients with DCIS treated by breast-conserving surgery alone

## Oncotype DCIS Score as a Predictor of Local Recurrence: ECOG E5194 Analysis

Ipsilateral local recurrence: **DCIS Score Group** 10 Year Risk (95% CI) 25.9% (14.8%, 43.1%) High DCIS Score HR (per 50 units) = 2.31 Intermediate 53 26.7% (16.2%, 41.9%) 40 Kaplan-Meier Risk (%) IBE 10.6% ( 6.9%, 16.2%) 230 OW. (95% CI: 1.15, 4.49,p=.02) 35 30 Adjusted for tamoxifen Log rank P = 0.006 25 20 15 Ipsilateral invasive recurrence: 10 DCIS Score HR (per 50 units) = 3.68 5 (95% CI: 1.34, 9.62,p=.01) 8 10 Unadjusted Years



## MVA Models of Risk for IBE

	Hazard Ratio (95% CI)	<u>P value</u>	
Excluding the DCIS Score			
Tumor size	1.54 (1.14, 2.02)	0.01	
Postmenopausal	0.49 (0.27, 0.90)	0.02	
Including the DCIS Score			
DCIS Score	2.41 (1.15, 4.89)	0.02	
Tumor size	1.52 (1.11, 2.01)	0.01	
Postmenopausal	0.49 (0.27, 0.90)	0.02	

For study cohort, surgical margins, grade, comedo necrosis, and DCIS pattern, all p > 0.46. For tamoxifen, p = 0.09.

Solin et al. JNCI 2013

## **Clinical Relevance**

- Ideally, the DCIS score could be used to tell a young, pre-menopausal woman with any size DCIS that she will not need radiation following lumpectomy
- Current data clearly supports the use of DCIS score in post-menopausal women with <1.0cm DCIS</li>
- Can the DCIS score be used in the general population?



### **Study Objectives**

### **Primary Objective**

- To evaluate if the DCIS Score is associated with the risk of local recurrence (DCIS or invasive) in patients treated with <u>BCS alone</u> with negative margins
  - In ER positive patients (by quantitative RT-PCR)
  - All patients regardless of ER status

### **Secondary Objectives**

- To evaluate if the DCIS Score is independently associated with LR adjusting for significant clinical and pathologic factors
- To evaluate if the DCIS Score is associated with the risk of:
  - Invasive local recurrence
  - DCIS local recurrence





### Study Design

### **Study population**

- Population based cohort of cases diagnosed with pure DCIS in Ontario 1994-2003
- Breast-conserving surgery alone
- Negative resection margins

### Statistical Analytical Plan

- Pre-specified study objectives, Laboratory assays, Endpoints
- Oncotype DCIS Score
  - Continuous variable (0 100)
  - 3 pre-specified risk groups:

Low< 39</th>Intermediate39 - 54High $\geq 55$ 

### Statistics

- Cox proportional hazards models
- Kaplan-Meier estimates to evaluate 10-year risk of recurrence by DCIS risk group (log rank tests used to compare risk groups)





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### **Ontario Cohort**



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### Patient Characteristics Ontario Cohort (N=571)

Age ≥ 50 years	459 (81%)
Nuclear Grade	
Low	55 (10%)
Intermediate	332 (58%)
High	184 (32%)
Comedo Necrosis	350 (61%)
Solid Subtype	358 (63%)
Tumor Size	
≤ 10 mm	150 (26%)
> 10 mm	140 (25%)
Missing	281 (49%)
Multifocality*	114 (20%)
ER+ by RT-PCR	541 (95%)
HER2+ by RT-PCR	100 (17.5%)

\*Presence of at least 2 foci of DCIS in the same quadrant at least 5 mm apart. Sikand et al. J Clin Path, 2005





### Ontario Cohort Outcomes

- Median follow-up = 9.6 years
- Local recurrence = DCIS or invasive breast cancer in same breast 6 months or more after diagnosis of DCIS
- N=100 local recurrences
  - N=57 invasive
  - N=44 DCIS
- 10 year Kaplan Meier risk of local recurrence = 19.2%



### DCIS Score as a Predictor of Local Recurrence: Univariable Analysis

### 50 Low Intermediate High 10-Year Risk of Local Recurrence (%) 40 HR (95% C.I.)\* P value Endpoint HR = 2.15 95% CI = (1.43 to 3.22) P < .001 30 20 Local Recurrence in ER+ DCIS 2.26 (1.41, 3.59) < 0.001 10 Local Recurrence in all Patients 2.15 (1.43, 3.22) < 0.001 Ö n 20 40 60 80 100

\* Cox model HRs for a 50 point increase in the DCIS Score

Primary pre-specified endpoints met



Continuous DCIS Score

DCIS Score

### Kaplan-Meier 10-year Risk of Local Recurrence by DCIS Score Risk Group



### 10-year Risk of Invasive and DCIS Local Recurrence by DCIS Score Risk Group



DCIS Local Recurrence



### Factors Associated with Local Recurrence: Multivariable Analysis

Characteristic	Ν	HR (95% C.I.)	P value*
DCIS Score /50	571	1.68 (1.08, 2.62)	0.02
Age at diagnosis (yr)			0.03
< 50	110	1.75 (1.07, 2.76)	
≥ 50	459	1.0	
Tumor size			0.01
>10mm	140	2.07 (1.15, 3.83)	
≤10mm	150	1.0	
Subtype			0.04
Solid	358	1.63 (0.97, 2.88)	
Cribriform	175	1.0	
Multifocality*			0.003
Present	114	1.97 (1.27, 3.02)	
Absent	457	1.0	

\*Presence of at least 2 foci of DCIS in the same quadrant at least 5 mm apart Sikand et al. J Clin Path, 2005

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## Conclusion

 DCIS score is associated with the risk of local recurrence and invasive local recurrence in a population of patients with pure DCIS treated with breast conserving surgery alone (no radiation) Does this study support the use of the DCIS score in the general population?

## Take Home Message

• For clinical decision making, not really

## Take Home Message

- For clinical decision making, not really
- DCIS score appears a reliable predictor of local recurrence following breast conserving surgery alone (no radiation) in:
  - Women > age 50 (post-menopausal)
  - DCIS size  $\leq$  1.0 cm
  - Cribiform subtype
  - Unifocal



## The Connecticut Experiment: 4 Years of Screening Women with Dense Breasts with Bilateral Ultrasound

Jean M Weigert MD FACR Director of Breast Imaging The Hospital of Central Connecticut Mandell and Blau MD's PC New Britain CT

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# **ORIGINAL STUDY**

- **Purpose:** To determine if screening breast ultrasound in women with mammographically normal but dense breasts is useful for the detection of breast cancer.
- Objectives: Determine PPV, cancer detection rates types of cancers detected including size and node status. Establish ideal screening population, benefits, and risks.

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# STUDY DESIGN

- Retrospective chart review
- Data was collected on
  - Number of mammograms performed
  - Number of screening breast ultrasounds
  - BIRADS breakdown of ultrasounds
  - Biopsy proven malignancies and high risk lesions.
  - Patient demographics on biopsy proven high risk lesion/malignancy.

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## SCHEMATA OF DATA COLLECTION



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## Results

	Year 1	Year 2	Year 3	Year 4
Screening Mammograms	30670	32050	32230	27937
Ultrasounds for Dense Breasts	2706	3351	4128	3331
BIRADS 4 and 5 Ultrasounds	151	180	178	53
Cancers	11	11	13	11
PPV	7.1	6.1	8.1	17.2
# Cancers per 1000 Screened	4.0	3.2	3.2	3.3
% Eligible Screened	22.1	26.1	32.0	28.3

## Results

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## **LESION CHARACTERISTICS YEAR 1**

Type of Lesion	on USG	Histologic Grade	Receptor status	Sentinel Nodes	Age	<b>Risk Factors</b>
IDC	1.5	1	ER/PR+	0	57	cousin
IDC	2.2	2	ER/PR+	1 macro-met	50	none
IDC	1.5	2	ER/PR+	0	48	Mat. grandmother
IDC/ILC	1.2	3	ER?PR+	1 macro-met	61	none
IDC/ILC	1.5	3	ER/PR+	0	57	none
IDC/ILC/DCIS	1.2x0.8	2	ER/PR+	0	49	none
ILC	3.0x3.0	2	ER/PR+	0	50	none
ILC	2.5x2.0	2	ER/PR+	0	78	none
Mucinous colloid	8.0	2	ER/PR+	0	45	none
DCIS	3.7x3.0	2	ER+/PR-	o	50	none
Papillary intra- cystic with DCIS	1.2	2	ER/PR+	o	57	none

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### **LESION CHARACTERISTICS YEAR 4**

Type of Lesion	Size on USG	Histologic Grade	Receptor status	Sentinel Nodes	Age	Risk Factors	Prior USG
IDC	1.5	3	ER/PR+	1 macro-met	48	none	
IDC	1.2	3	ER/PR+	1 macr-met	66	none	
IDC	3	2	ER/PR+	1 micro-met	48	none	
IDC/DCIS	2.1	3	ER?PR+	2 macro-met	76	none	
ILC	0.4	2	ER/PR+	0	76	none	2012
ILC	1.2	2	ER/PR+	o	49	none	2011
ILC/LCIS	1.2	2	ER/PR+	0	46	none	
mixed IDC/ILC	1	2	ER/PR+	o	57	uterine	2012
mixed IDC/ILC	1	2	ER/PR+	0	61	prior breast	2011
Tubular	0.4	1	ER+/PR-	0	54	none	

ALH/LCIS 0.4

66 prior breast

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# DISCUSSION

- Screening Breast Ultrasound in women with Mammographically Dense breast tissue (> 50%) find Occult Cancers
- This has continued at the same rate/thousand over the first four years since enacting Legislation that mandates informing patients of the breast tissue density and allowing then to choose to have additional imaging with breast ultrasound.
- The PPV has improved indicating that as expected there is a learning curve in deciding which lesions to follow and which to biopsy. Cancers are found in women having yearly USG.
- Overall % eligible women seeking test remains steady at about 30% which may be due to lack of education but more likely cost/insurance issues

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## LIMITATIONS

Only four years of data-how many years do we need to prove the value of adding Bilateral Breast Ultrasound?

We have many more years of screening mammography and we know that there has been improved mortality. These are the exact same types of cancers.

The absolute Breast Density was not listed for each cancer ie no designation of 50-75% or >75%

This could be considered arbitrary as we didn't have "absolute" density data and don't know if that is relevant.

No Cost analysis was performed to determine the amount to diagnose each additional cancer.

Two earlier studies (refs. 6 & 7) did perform such an analysis and did not show the cost to be great. After all, what would the cost be compared to finding a cancer at a later stage which costs more to treat and have potentially increased mortality! Clearly more data and analysis is necessary!

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## CONCLUSION

- The addition of bilateral breast ultrasound to screening mammography in women with mammographically dense breast tissue (>50%) increases the ability to find cancers in this patient population.
- These are predominantly small and node negative unless of high grade.
- Women having repeat ultrasound are now having cancers diagnosed indicating that in this patient population this test should be part of their routine yearly "screening" procedure.

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## Take Home Message

Screening Breast Ultrasound may help with early detection of cancers in women with dense breasts but appears to be dependent on experience and expertise.
# Final Survival Analyses from the Women's Intervention Nutrition Study (WINS) Evaluating Dietary Fat Reduction as Adjuvant Breast Cancer Therapy

December 12, 2014

#### RT Chlebowski RT, Blackburn GL

#### for the Women's Intervention Nutrition Study Investigators

Los Angeles BioMedical Research Institute at Harbor-UCLA Medical Center

**Beth Israel Deaconess Hospital** 



- More recent observational studies on dietary fat intake and breast cancer outcome provide mixed results (3 of 6 cohort studies positive).
- Emerging evidence now provides more support for obesity being a lifestyle factor associated with adverse breast cancer outcome.

Makarem et al. Annu Rev Nutr. 2013;33:doi:10.1146/annurev-nutr-112912-095300. Chlebowski et al. J Clin Oncol 2002;20(4):1128-43. Demark-Wahnefried et al. Cancer Epidemiol Biomarkers Prev 2012;21(8):1244-59. Ligibel et al. J Clin Oncol 2013;32(31):3568-74.

#### San Antonio Breast Cancer Symposium, December 9-13, 2014 Women's Intervention Nutrition Study (WINS) Evaluating Dietary Fat Reduction in Early Stage Breast Cancer



Chlebowski RT, et al. J Natl Cancer Inst 2006;98:1767.

# **WINS: Dietary Intervention**

- Goal: Reduce dietary fat intake (target 15% calories from fat), weight loss not an intervention target
- Diet Group: women given a fat gram goal by centrally trained, registered dieticians implementing a low fat eating plan <sup>1, 2</sup>
- Eight bi-weekly individual counseling sessions and subsequent contacts every 3 months
- Monthly group sessions
- Self-monitoring of fat gram intake, unannounced telephone calls
- Control Group: women had dietician contacts every three months

<sup>1</sup> Chlebowski, Rose, Buzzard, et al Breast Cancer Res Treat 20:73-84, 1992 <sup>2</sup> Winters, Mitchell, Smiciklas-Wright, et al This presentation is the intellectual property of the author/presenter. Contact AmnDiet/Associ/104:551-9ii 2004or permission to reprint and/or distribute.

# WINS: Baseline Characteristics

	Diet	Control
Age-yrs (SD)	58.6 (7.27)	58.5 (7.61)
Time from 1 <sup>0</sup> surgery to entry (SD), d	227 ± 96	221 ± 93
Tumor Size		
Mean (SD), cm	1.93 (0.9)	1.89 (0.9)
Nodal Status		
Negative – (%)	73.1%	72.9%
Mean No. + (SD)	2.0 (1.5)	20. (1.6)

# WINS: Baseline Characteristics

	Diet	Control
ER Status, n	975	1462
Positive	79.0%	81.3%
Negative	21.0%	18.7%
PgR Status, n	967	1452
Positive	67.8%	67.3%
Negative	28.4%	29.0%
Surgery, n	967	1452
Mastectomy	35.5%	29.9%
Breast Conserve	64.5%	70.1%

# WINS: Baseline Characteristics

	Diet	Control
Systemic Rx, n	975	1462
Tamoxifen alone	47.7%	47.4%
Tamoxifen + ChemoRx	38.5%	38.0%
ChemoRx alone	13.9%	14.6%
ChemoRx Regimen, n	505	763
AC	33.5%	31.9%
CMF	53.5%	53.7%
FAC/CAF	7.0%	7.0%
AC — T	6.3%	7.5%

# Caloric Intake from Fat (%) at Baseline and Subsequently by Randomization Group

Randomization	Percent Caloric Intake from Fat									
Ciccip	Baseline	12 Mos	36 Mos	60 Mos	72 Mos					
Diet	29.6 <u>+</u> 7.1	20.0 <u>+</u> 7.8	21.7 <u>+</u> 8.4	23.2 <u>+</u> 8.4	23.0 <u>+</u> 9.2					
Control	29.6 <u>+</u> 6.7	29.2 <u>+</u> 8.2	30.7 <u>+</u> 8.7	31.2 <u>+</u> 8.9	31.4 <u>+</u> 8.2					

#### All values, P<.0001 versus control Reduced caloric intake from fat (%) in Diet Groups

Information on dietary intake was available for 975 and 1461 of women in the dietary intervention group and the control group, respectively, at baseline; for 840 and 1328 women, respectively, at year 1; for 654 and 1077 women, respectively, at year 3; and for 380 and 648 women, respectively, at year 5.

Chlebowski RT, Blackburn GL, Thomson CA, et al J Natl Cancer Inst This presentation is the intellectual property of the author/presenter. Contact them at 67,006,98,17,67, and for permission to reprint and/or distribute.

#### WINS: % Calories from Fat by Randomization Group



Chlebowski RT, Blackburn GL, Thomson CA, et al J Natl Cancer Inst 2006;98:1767

#### San Antonio Breast Cancer Symposium, December 9-13, 2014 Change in BMI and Weight by Randomization Group

	Diet Minus Control Group						
Variable	Year 1	Year 3	Year 5				
BMI (kg/m <sup>2</sup> )	-0.80	-0.77	-1.1				
	(-1.3 to -0.3)	(-1.3 to -0.2)	(-1.9 to -0.4)				
Weight (LBS)	-5.0	-3.9	-6.0				
	(-8.0 to -2.1)	(-6.9 to -0.5)	(-9.9 to -1.9)				

#### All values, P < .005 versus control Reduced weight and BMI in Diet Group

#### BMI = Body Mass Index

All values for weight, P = .005, intervention versus control Information on weight and BMI was available for all 975 and 1462 women in the dietary intervention group and the control group, respectively, at baseline; for 854 and 1310 at year 1; 698 and 1044 at year 3; and 386 and 998 at year 5.

Chlebowski RT, et al. J Natl Cancer Inst 2006;98:1767.

#### **WINS Previously Reported Clinical Outcomes**



PATIENTS (%)

Ov (	erall Survival Subgro 108 months follow-u	pups p)
Group	HR, 95% CI	P-value
All	0.82 (0.64-1.07)	0.146
ER+, PR+	0.90 (0.64-1.28)	NS
ER-, PR-	0.36 (0.18-0.74)	0.003

\*p=0.03, from adjusted Cox proportional hazard model

Funding and intervention ended in May 2004. Follow-up through 2013 (death registry), 19.4 year maximum

> Chlebowski RT, Blackburn GL, Thomson CA, et al J Natl Cancer Inst 2006;98:1767 Chlebowski RT, Blackburn GL, Hoy MK, et al Proc Amer Soc Clin Oncol 26; Abstract 522, 2008

# Study Purpose

Using National Death Registry data (DOBsearch.com), the primary purpose was to determine whether a lifestyle intervention targeting fat intake reduction will improve overall survival in early stage breast cancer patients receiving standard breast cancer management after a median follow-up period of 15 years.

# **Death Rate by Randomization Group**

	Number	Deaths	Percent
Control:	1462	250	17.0%
Diet:	975	133	13.6%

#### Lower death rate in Diet Group Hazard ratios (HRs) are reported from Cox proportional hazards models and depicted in Kaplan Meier plots

#### **WINS Survival for All by Randomization Groups**



roduct-Limit Survival Estimates With Number of Subjects at Risk and 95% Hall-Wellner Ba

# WINS Survival (ER positive) by Group



oduct-Limit Survival Estimates With Number of Subjects at Risk and 95% Hall-Wellner Ba

# WINS Survival (ER negative) by Group



roduct-Limit Survival Estimates With Number of Subjects at Risk and 95% Hall-Wellner Ba

## Cumulative Hazard Ratio for Death by Randomization Group Through Follow-up Years ER Negative

Years	HR	(95% Cl)	p-value
1-3	0.49	(0.22-1.11)	0.087
1-5	0.71	(0.38-1.30)	0.264
1-7	0.64	(0.37-1.13)	0.127
1-10	0.58	(0.34-0.99)	0.045
1-15	0.69	(0.38-0.97)	0.036
1-20	0.64	(0.41-0.99)	0.045

## WINS Survival (ER and PR negative) by Group



roduct-Limit Survival Estimates With Number of Subjects at Risk and 95% Hall-Wellner Ba

## Cumulative Hazard Ratio for Death by Randomization Group Through Follow-up Years ER Negative and PR Negative

Years	HR	(95% CI)	p-value
1-3	0.23	(0.07-0.80)	0.021
1-5	0.34	(0.14-0.82)	0.017
1-7	0.32	(0.14-0.73)	0.006
1-10	0.31	(0.14-0.67)	0.003
1-15	0.38	(0.21-0.69)	0.001
1-20	0.46	(0.27-0.79)	0.006

Per SEER, 73% anticipated to be triple negative

Howlader et al. J Natl Cancer Inst 2014 Apr 28;106(5). pii: dju055. doi: 10.1093/jnci/dju055.

# Limitations

- Post hoc Analysis
- Exploratory Subgroup Analysis
- Limited/no participant contact after intervention ended
- 1990s breast cancer treatment
- Her2 status unavailable
- No information on cause of death



- A lifestyle intervention targeting fat intake reduction associated with weight loss did not significantly increase overall survival of women with resected breast cancer receiving conventional cancer management.
- Exploratory analyses suggest favorable lifestyle influence on survival in hormone receptor negative subgroups and during active intervention.
- Given emerging evidence, future lifestyle interventions should best target weight loss/maintenance and increased physical activity.

# Take Home Message

 Currently, strong and pro-active nutritional support which effectively promotes a low fat diet resulting in weight loss appears to be the only recommendation we can make for potentially preventing breast cancer recurrence in breast cancer patients following definitive treatment for hormone receptor negative tumors

#### Accelerated partial breast irradiation using intensity modulated radiotherapy versus whole breast irradiation

#### 5-year survival results of a phase 3 randomized trial

#### Lorenzo Livi

Icro Meattini, Livia Marrazzo, Stefania Pallotta, Gabriele Simontacchi, Calogero Saieva, Vieri Scotti, Carla De Luca Cardillo, Paolo Bastiani, Jacopo Nori, Lorenzo Orzalesi, Simonetta Bianchi



Department of Radiotherapy-Oncology Florence University Florence, Italy

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## PHASE 3 TRIAL DESIGN

#### ACCELERATED IMRT TO TREAT THE INDEX QUADRANT 30 Gy in 5 fractions (6 Gy/fr in 2 weeks)

versus

#### STANDARD WHOLE BREAST RADIOTHERAPY 50 Gy + boost 10 Gy in 30 fractions (2 Gy/fr in 6 weeks)

AFTER CONSERVING SURGERY IN HIGHLY SELECTED EARLY BREAST CANCER PATIENTS

> pT < 25 mm surgical margins > 5 mm aged > 40 year

> > Livi et al, IJROBP, 2010



## METHODS

- Randomly assigned in a 1:1 ratio to receive WBI or IMRT APBI
- 80% statistical power (two-sided p-values < 0.05 were considered significant)
- · Primary endpoint: ipsilateral breast tumor recurrences (IBTR)
- Secondary endpoints: treatment toxicity and overall survival (OS)
- Treatment tolerance assessment:
  - RTOG & EORTC scale
  - Harvard Breast Cosmesis scale



#### PHASE III TRIAL CHART



2005-2013 (recruitment closed). ClinicalTrials.gov Identifier: NCT02104895



### DEMOGRAPHICS

<u> </u>	Whole	Breast	Partial Breast		
	N	96	N	96	
Age			0.000		
-:50	45	17.3	41	15.8	
61-59	76	29-2	GT	23.5	
80-69	61	31.2	99	38-1	
≥70	58	22-3	59	22.6	
Pathological tumour stage	1				
pTIs	32	12.3	23	8-8	
pT1a	18	8.9	28	10.8	
pT1b	88	33-8	98	37.7	
pTic	107	41.2	97	37.3	
		1.2		dantes -	
Number of positive nodes					
None	213	<b>81</b> .8	232	89-2	
13	33	12.7	19	7-3	
No axillary nodal dissection	14	5.4	9	3-6	
Oostrogon receptor					
Negative	11	4.2	12	4-6	
Positive	249	95.8	248	95.4	
Progesterone receptor					
Negative	25	9.6	28	10.8	
Positive	235	90.4	232	89.2	
Molecular subtype	10-20-20-				
Luminal A	151	72.6	169	79.3	
Luminal D	42	20.2	33	16.6	
HEB2 positive (non-luminal)	13	6.2	6	2.8	
Triple negative	2	1.0	5	2-3	





# TARGET IDENTIFICATION





### **APBI USING S&S IMRT TECHNIQUE**

OAR	Constraint
Contralateral Lung	V5 < 10%
Homolateral Lung	V10 < 20%
Heart	V3 < 10%
Homolateral breast (uninvolved tissue)	V15 < 50%
Contralateral Breast	Max 1 Gy in each point





## OUTCOME RESULTS

- Median follow-up: 5 years (range 0.6-9.0)
- Mean time to IBTR: 2.9 years (range 1-4)

- No statistically significant difference for:
  - 5-year IBTR rate (p=0.86)
  - 5-year distant metastases rate (p=0.87)
  - 5 years OS rate (p=0.057)



#### Cumulative incidence of ipsilateral breast tumour recurrence (intention-to-treat population)



5-year IBTR rate 1,5% in the APBI and 1,4% in the WBI group (log rank test p=0.86)



#### **Overall survival** (intention-to-treat population)



The 5-year overall survival was 96.6% for the WBI and 99.4% for APBI group



## SAFETY RESULTS

- Acute adverse events: APBI group showed a statistically significant better safety considering any grade of skin toxicity (p=0.0001)
- No grade 3 toxicity was observed for APBI and WBI group
- Early late side effects, only two cases (0.8%) experienced grade 2 toxicity in WBI group (skin fibrosis)
- Cosmetic result was rated as excellent/good for more than 90% of patients in both groups
- Overall, APBI group showed better outcome to WBI group (p=0.045)



#### ACUTE TOXICITY RESULTS





## EARLY LATE TOXICITY RESULTS

	(n::	/BI 274) N %	AF (n:2 N	246) %	p-value		100 -	ſ	1			
Any skin toxicity	1	1.1				1	00					
None	245	89.4	235	95.5			60 -	/				
Yes, any Grade	29	10.6	11	4.5	0.013	%						
						1000	40-					
None	245	89.4	235	95.5								
Grade 1	27	9.9	11	4.5			20-	/				
Grade 2	2	0.7	0	0								
Grade 3	0	0	0	0			0 -					-
Grade 4	0	0	0	0	0.024			Grad	Gra	ad	Grad	Grad
Grade 0-1	272	99.3	246	100				e 0	е	1	e 2	e 3
Grade ≥2	2	0.7	0	0	0.50							
(miling)											-	
ABSUST.												A/121



## **COSMESIS RESULTS**

- 337 patients (64.8%) had a cosmetic evaluation with a minimum follow-up of 48 months
- The cosmetic result was rated as excellent/good for more than 90% of patients
- APBI arm showed better outcome to WBI arm (p=0.045)




### STUDY LIMITATIONS

- Small sample series (overall 520 cases)
- Low IBRT events rate
- Longer follow up needed



## Limitations

- No information on dosimetric data for WBI presented or dose constraints used for WBI
- No comparison made between the dose distributions in the WBI vs. APBI cohorts



### CONCLUSIONS

- To our knowledge this is the first randomized study using exclusively IMRT technique for APBI delivery
- No statistical difference in terms of IBTR was shown between the two arms at 5-year median follow up
- The APBI group presented significantly better results considering acute, early late, and cosmetic outcome

### Take Home Message

 APBI appears to be an option for women with low risk breast cancer but so is hypofractionation and even observation in select cases

# Hypofractionation

### UK START Trials A and B TARGIT Trial



- Historically, radiation to the breast or chestwall has been give to a dose of 45 to 50 Gy in 1.8 or 2.0 Gy fractions with or without a boost
- Canadian Phase III study showed 2.67 x 16 fractions equivalent to 50 Gy at 2 Gy per fraction with 12 years of follow-up in Stage I/II node negative patients with less than 25 cm breast separation

## 2011 ASTRO Whole Breast Hypofractionation Consensus Guidelines

- Data support 2.66 Gy x 16 fractions in: – pT1/T2 N0 patients
  - $\ge 50$  years old
  - No Chemotherapy
  - Dose delivered is +/- 7% of the prescribed dose

## The UK Start (Standardisation of Breast Radiotherapy) Trials: 10-year Follow-up Results

Haviland JS, Agrawal R, Aird E, Barrett J, Barrett-Lee P, Brown J, Dewar J, Dobbs J, Hopwood P, Hoskin P, Lawton P, Magee B, Mills J, Morgan D, Owen R, Simmons S, Sydenham M, Venables K, Bliss JM, Yarnold JR

Haviland et al. Lancet Oncology 2013

### **START Trials: design and endpoints**

Women with completely excised invasive breast cancer, T1-3 N0-1 M0



## **Inclusion Criteria**

- pT1-3, N0-1 breast cancer
- Requiring XRT after lumpectomy or mastectomy
- <u>></u> 1mm surgical margins
- No immediate surgical reconstruction

### **Common Patient Characteristics**

- 80% had tumors <3.0 cm</li>
- ~70% were node negative
- ~70% had low or intermediate grade tumors
- 85% treated with breast conserving surgery
- 85% breast only XRT (no regional nodal XRT)
- ~50% did not receive a boost
- ~70% did not receive chemotherapy
- ~80% received tamoxifen





## **Trial B:** Normal tissue effects – individual endpoints (physician assessments)



### **Trials A & B: Other late adverse events**

	Trial A			Trial B	
	50Gy	41.6Gy	39Gy	50Gy	40Gy
Symptomatic rib fracture	5 (0.7%)	7 (0.9%)	9 (1.2%)	17 (1.5%)	23 (2.1%)
Symptomatic lung fibrosis	5 (0.7%)	9 (1.2%)	8 (1.1%)	19 (1.7%)	18 (1.6%)
Ischaemic heart disease [left-sided tumours]	17 (2.3%) [8]	10 (1.3%) [4]	9 (1.2%) [5]	25 (2.3%) [10]	22 (2.0%) [11]
Cardiac-related deaths [left-sided tumours]	11 (1.5%) [7]	16 (2.1%) [12]	9 (1.2%) [2]	13 (1.2%) [8]	5 (0.4%) [3]
Brachial plexopathy	0	1 (0.1%)	0	0	0

14

### **Trial B: Local-regional (LR) tumour relapse**<sup>®</sup>



### Meta-analysis of START pilot & START A & B<sub>15</sub> Subgroup analyses of LR relapse (n=5861)

	Fraction sizes >	2.0 Gy	Fraction size	e 2.0 Gy	
Age	<50yrs >=50yrs			<b>No. of</b> <b>patients</b> 1389 4472	Hazard ratio (95% Cl) 0.84 (0.62, 1.15) 1.07 (0.83, 1.38)
Primary surgery	Breast conserving_ Mastectomy		_	5348 513	0.97 (0.80, 1.19) 0.91 (0.46, 1.81)
Axillary nodes (pN)	Negative Positive		-	4318 1421	1.10 (0.86, 1.40) 0.80 (0.57, 1.11)
Tumour grade	1 2 3		<u> </u>	1213 2398 1272	0.96 (0.51, 1.82) 1.07 (0.72, 1.59) 0.86 (0.59, 1.25)
Boost RT	No Yes	-		2749 3071	0.99 (0.74, 1.32) 0.99 (0.76, 1.29)
Adjuvant chemothe	P <b>rapy</b> No Yes		<b></b>	4346 1480	1.09 (0.86, 1.38) 0.81 (0.57, 1.14)
	.4 F	.6 .8 1 Iazard R	1.2 1.4 1.6 1.8 atio (95% Cl	2	

## Conclusions

- Long-term follow-up confirms appropriately-dosed hypofractionated radiotherapy is safe and effective in treatment of patients with early breast cancer
- 41.6 Gy in 13 fxns and 40 Gy in 15 fxns each appear comparable to 50 Gy in 25 fxns in terms of local-regional tumor control and late normal tissue effects.
- These results support the continued use of 40 Gy in 15 fxns as standard of care for women requiring radiotherapy for early breast cancer treated with breast conserving surgery ≥ 1mm margins

### Utilization of Hypofractionated Radiation Therapy For Early Stage Breast Cancer

- a) Utilization of Hypofractionated Radiation Therapy for Early Stage Breast Cancer in Women over 50 years of age (P1-15-02)
- b) The Adoption of Hypofractionated Whole Breast Irradation for Early-Stage Breast Cancer: A national cancer data base analysis (P1-15-03)
- c) Low Utilization of Hypofractionated radiotherapy for the treatment of Early-Stage Breast Cancer in the US (P1-15-10)

### Methods

 National Cancer Data Base – comprehensive oncology outcomes database which captures 70% of all newly diagnosed cancer patients in the U.S.

#### Utilization of Hypofractionated Radiation Therapy for Early Stage Breast Cancer in Women over 50 years of age – A National Cancer Data Base Analysis

Rajagopalan MS<sup>1</sup>, Lehocky C<sup>1</sup>, Flickinger JC<sup>1</sup>, Heron DE<sup>1</sup>, Sukumvanich P<sup>2</sup>, Kelley JL<sup>2</sup>, Ahrendt GM<sup>3</sup>, and Beriwal S<sup>1</sup> Departments of <sup>1</sup>Radiation Oncology, <sup>2</sup>Gynecologic Oncology, and <sup>3</sup>Surgery, University of Pittsburgh Cancer Institute, Pittsburgh, PA



**UPMC** CancerCenter

Partner with University of Pittsburgh Cancer Institute

## MVA: Factors Correlated Increased Use of Hypofractionation

- Later year of Diagnosis
- Advancing age (Decade)
- Treatment in academic center
- Regional location in U.S.
- Lower Grade of Disease
- White race
- Residence in a higher income area (p<0.001)
- Greater comorbidity score (p<0.02)
- Presence of invasive cancer (p<0.01)</li>
- Right-sided disease (p<0.01)
- Greater distance from reporting facility (P<0.001)



# Low utilization of hypofractionated radiotherapy for the treatment of early-stage breast cancer in the US

Yvonne M. Mowery<sup>1</sup>, Rachel A. Greenup<sup>2</sup>, Kevin Houck<sup>3</sup>, Manisha Palta<sup>1</sup>, Janet K. Horton<sup>1</sup>, Julie A. Sosa<sup>2</sup>, E. Shelley Hwang<sup>2</sup>, Rachel C. Blitzblau<sup>1</sup> Departments of <sup>1</sup>Radiation Oncology, <sup>2</sup>Surgery, and <sup>3</sup>Medicine, Duke University Medical Center, Durham, North Carolina, USA







### MVA: Variables Associated with Hypofractionation Whole Breast Irradiation

Variable	OR	95% CI
Academic Center vs. Community Cancer Center	3.06	2.32 - 4.02
Academic Center vs. Comprehensive Community Cancer Center	1.78	1.53 – 2.08
Patient age, 50-90 vs. 18-49	2.37	1.86 - 3.01
pT2 vs. pT1	0.54	0.46 - 0.63
HER2+ vs. Hormone Receptor +/HER2-	0.75	0.59 - 0.97
ER-/PR-/HER2- vs. Hormone Receptor+/ HER2-	0.66	0.52 - 0.84
Rural vs. urban	2.68	1.69 - 4.24

### The Adoption of Hypofractionated Whole Breast Irradiation for Early-stage Breast Cancer: a National Cancer Data Base Analysis

Elyn H. Wang BS<sup>1</sup>, Sarah S. Mougaian MD<sup>1,2,3</sup>, Pamela R. Soulos MPH<sup>2</sup>, Charles E. Rutter<sup>1,3,4</sup>, Suzanne B. Evans MD MPH<sup>1,3,4</sup>, Bruce G. Haffty MD<sup>5</sup>, Cary P. Gross MD<sup>1,5,6</sup>, James B. Yu MD<sup>1,3,4</sup>

<sup>1</sup>Yale School of Medicine, New Haven CT, <sup>1</sup>Department of Medical Oncology, New Haven, CT, <sup>1</sup>Cancer Outcomes, Public Policy, and Effectiveness Research Center at Yale, New Haven, CT, <sup>4</sup>Department of Therapeutic Radiology, Yale School of Medicine, New Haven, <sup>5</sup>Department of Radiation Oncology, Rutgers Cancer Institute of New Jersey and Robert Wood Johnson Medical School, New Brunswick, NJ/Department of Internal Medicine, Yale School of Medicine, New Haven, CT



## Results

 Hypofractionation was less likely to be used in patients with high risk disease, such as increased tumor size (p<0.001) or poorly differentiated histologic grade (p<0.001).</li> Published in final edited form as: JAMA. 2014 December 17; 312(23): 2542–2550. doi:10.1001/jama.2014.16616.

### Uptake and Costs of Hypofractionated vs Conventional Whole Breast Irradiation After Breast Conserving Surgery in the United States, 2008–2013

Justin E. Bekelman, MD, Gosia Sylwestrzak, MA, John Barron, PharmD, Jinan Liu, PhD, Andrew J. Epstein, PhD, Gary Freedman, MD, Jennifer Malin, MD, and Ezekiel J. Emanuel, MD, PhD

Department of Radiation Oncology, University of Pennsylvania Perelman School of Medicine, Philadelphia (Bekelman, Freedman); Department of Medical Ethics and Health Policy, University of Pennsylvania Perelman School of Medicine, Philadelphia (Bekelman, Emanuel); Leonard Davis Institute of Health Economics, University of Pennsylvania, Philadelphia (Bekelman, Epstein); HealthCore, Wilmington, Delaware (Sylwestrzak, Barron, Liu); Division of General Internal Medicine, Department of Medicine, University of Pennsylvania Perelman School of Medicine, Philadelphia (Epstein); Center for Health Equity Research and Promotion, Philadelphia Veterans Affairs Medical Center, Philadelphia (Epstein);WellPoint Inc, Indianapolis, Indiana (Malin)

## Methods

- Health Core Integrated Research
  Database
  - Links medical and pharmacy claims and eligibility files
  - 14 commercial health plans across the U.S.
  - -9.2 million adult women
  - Includes claims data for commercial payer and Medicare Advantage enrollees

### Results



#### Figure 2.

Hypofractionated Whole Breast Irradiation After Breast Conserving Surgery Among Patients With Early-Stage Breast Cancer in 14 Commercial Health Plans, 2008 to 2013

#### Bekelman et al. JAMA 2014

		Adjusted OR			
	Total	Conventional WBI	Hypofractionated WBI	(95% CI) <sup>a</sup>	P Value
Year, 2008–2013 <sup>b</sup>	8924			1.4 (1.3–1.4)	<.001
Patient Factors					
Age at radiotherapy start, y					
50–54	1745 (19.6)	1477 (84.6)	268 (15.4)	1 [Reference]	
55–59	1933 (21.7)	1596 (82.6)	337 (17.4)	1.1 (0.9–1.4)	.17
60–64	2201 (24.7)	1777 (80.7)	424 (19.3)	1.3 (1.1–1.6)	.003
65–69	1121 (12.6)	867 (77.3)	254 (22.7)	1.6 (1.3–1.9)	<.001
70–74	803 (9.0)	614 (76.5)	189 (23.5)	1.7 (1.4–2.2)	<.001
≥75	1121 (12.6)	791 (70.6)	330 (29.4)	2.5 (2.0–3.0)	<.001
Modified Deyo-Charlson Comorbidity Index $^{\mathcal{C}}$					
0	5647 (63.3)	4536 (80.3)	1111 (19.7)	1 [Reference]	
1	2141 (24.0)	1704 (79.6)	437 (20.4)	0.9 (0.8–1.1)	.30
2	713 (8.0)	571 (80.1)	142 (19.9)	0.8 (0.7–1.0)	.05
≥3	423 (4.7)	311 (73.5)	112 (26.5)	1.1 (0.8–1.3)	.68
Radiotherapy technique					
Non-IMRT	8096 (90.7)	6518 (80.5)	1578 (19.5)	1 [Reference]	
IMRT	828 (9.3)	604 (72.9)	224 (27.1)	1.5 (1.3–1.8)	<.001
Practice setting					
Freestanding facility	3029 (33.9)	2499 (82.5)	530 (17.5)	1 [Reference]	
Outpatient hospital	5895 (66.1)	4623 (78.4)	1272 (21.6)	1.4 (1.3–1.6)	<.001
Geographic Factors (by Zip Code of Residence)	)				
US Census region					
Northeast	2289 (25.6)	1782 (77.9)	507 (22.1)	1 [Reference]	
Midwest	1906 (21.4)	1526 (80.1)	380 (19.9)	0.9 (0.8–1.1)	.35
South	2039 (22.8)	1651 (81.0)	388 (19.0)	1.0 (0.9–1.2)	.93

Factors Associated With Hypofractionated vs Conventional Whole Breast Irradiation (WBI) in the Hypofractionation-Endorsed Cohort

		Adjusted OR			
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Factors Associated With Hypofractionated vs Conventional Whole Breast Irradiation (WBI) in the Hypofractionation-Endorsed Cohort

Health Care Expenditures and Out-of-pocket Expenses for Patients With Early-Stage Breast Cancer in 14 Commercial Health Plans

	Whole Breast Irradiation, Ac	Differences, Adjusted		
	Hypofractionated	Conventional	Mean (95% CI), US \$ <sup><i>a</i></sup>	P Value
Hypofractionated-Endorsed Cohort				
Commercial plan paid expenditures				
Total <sup>b</sup>	28 747 (27 345 to 30 221)	31 641 (30 446 to 32 883)	2894 (1610 to 4234)	<.001
Radiotherapy-related <sup>C</sup>	12 622 (12 053 to 13 218)	16 961 (16 358 to 17 585)	4338 (3709 to 4991)	<.001
Patient out-of-pocket expenses				
Total <sup>b</sup>	2215 (2012 to 2438)	2233 (2075 to 2404)	19 (-155 to 207)	.84
Radiotherapy-related <sup>C</sup>	617 (536 to 710)	746 (668 to 832)	128 (46 to 221)	<.001
Hypofractionated-Permitted Cohort				
Commercial plan paid expenditures				
Total <sup>b</sup>	64 273 (60 500 to 68 282)	72 860 (69 599 to 76 283)	8587 (5316 to 12 017)	<.001
Radiotherapy-related	14 974 (14 160 to 15 837)	19 762 (18 928 to 20 632)	4785 (3984 to 5623)	<.001
Patient out-of-pocket expenses				
Total <sup>b</sup>	3278 (2954 to 3638)	3421 (3158 to 3706)	143 (-121 to 429)	.30
Radiotherapy-related <sup>C</sup>	519 (415 to 648)	619 (520 to 736)	100 (3 to 215)	.04

### Take Home Message

• Hypofractionation appears to be underutilized in the United States



## **Lingering Questions**

- Is the 3 week regimen safe and effective for Stage III breast cancer patients or women who have tumors >3.0 cm?
- Can we use the 3 week dose in women needing SCV XRT?
- Does receptor status impact the efficacy of the 3 week course?
- What is the appropriate boost dose in these patients?
- Is it safe in the following patients:
  - Non-Caucasian patients
  - Large breasts
  - Patients treated with chemotherapy

## **Emory Study**

- Phase I/II Simultaneous Integrated Boost Study for breast cancer patients with one or more of the following factors:
  - Previously treated with chemotherapy
  - Women with large breasts (>25 cm separation)
  - Women <50 years old</li>
- 2.66 Gy x 15 fractions (39.9 Gy to the breast) and simultaneous built in boost to the cavity (48 Gy)
- Protocol has been expanded to include N1 patients needing regional nodal XRT (supraclavicular treatment) and post-mastectomy patients

### **Skin Thickness Results**



Compared with patients receiving standard treatment, patients receiving hypofractionated treatment experienced lower skin toxicity during XRT, 6 weeks, 3 months and 6 months post XRT.
Thank You