

2016 SABCS Review

prevention, biomarkers and genomics

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Leader, Solid Tumor Clinical Trials
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Disclosure

None



Outline

- Hereditary: S2-1-4
- Prevention: S2-04
- Biomarkers: S3-01, S4-04
- Genomics: S6-05

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Breast cancer risks associated with mutations in cancer predisposition genes identified by clinical genetic testing of 60,000 breast cancer patients

Fergus J. Couch, Hermela Shimelis, Chunling Hu, Jenna Lilyquist, Jie Na, Eric C. Polley, Steven N. Hart, Rachel McFarland, Robert Huether, Holly LaDuca, David E. Goldgar, Jill S. Dolinsky.

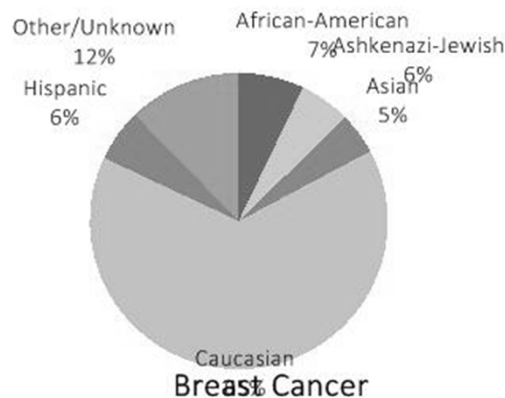
Association studies to estimate breast cancer risks

- Nationwide testing of 121,197 individuals referred for hereditary cancer genetic testing by Ambry Genetics between March 2012 and June 2016
- 38,326 Caucasian breast cancer patients from 65,057 tested were eligible for analysis
- Pathogenic variants from germline genetic testing of 21 known and candidate breast cancer predisposition genes were evaluated
- Frequency of variants in breast cancer cases was compared with frequency of variants in ExAC Non-Finn European non-TCGA controls

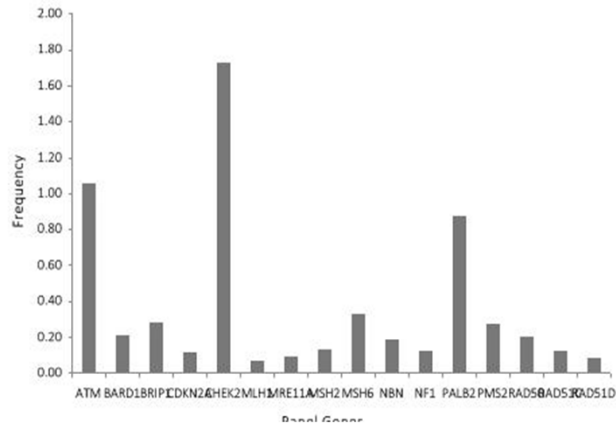


S2-01

Study Population: Ethnicity



Frequency of pathogenic mutations by gene



Associations of pathogenic variants with breast cancer Caucasian Cases vs. ExAC NFE-non-TCGA

| Gene | CaseAC | CaseAN | ControlAC | ControlAN | OR | 95%CI | p-value |
|--------|--------|--------|-----------|-----------|------|------------|------------------------|
| ATM | 279 | 58500 | 90 | 53288 | 2.83 | 2.23-3.64 | 3.66x10 ⁻²² |
| BRIP1 | 71 | 57114 | 41 | 53681 | 1.63 | 1.09-2.45 | 0.014 |
| CHEK2 | 429 | 58222 | 163 | 50430 | 2.29 | 1.91-2.76 | 4.84x10 ⁻²¹ |
| CHEK2* | 745 | 58222 | 424 | 50430 | 1.53 | 1.35-1.73 | 1.93x10 ⁻¹² |
| PALB2 | 245 | 60092 | 29 | 53738 | 7.58 | 5.15-11.56 | 5.17x10 ⁻³⁰ |

Associations of pathogenic variants with breast cancer Caucasian Cases vs. ExAC NFE-non-TCGA

| Gene | CaseAC | CaseAN | ControlAC | ControlAN | OR | 95%CI | p-value |
|--------|--------|--------|-----------|-----------|------|-----------|---------|
| BARD1 | 52 | 57114 | 22 | 52157 | 2.16 | 1.29-3.73 | 0.0023 |
| CDKN2A | 6 | 16928 | 7 | 48524 | 2.46 | 0.68-8.56 | 0.11 |
| RAD51D | 18 | 51936 | 6 | 53110 | 3.07 | 1.17-9.44 | 0.014 |
| MSH6 | 32 | 30978 | 28 | 52301 | 1.93 | 1.13-3.33 | 0.011 |
| MRE11A | 21 | 57114 | 23 | 53534 | 0.86 | 0.45-1.62 | 0.65 |
| NBN | 48 | 57114 | 39 | 52529 | 1.13 | 0.73-1.77 | 0.59 |
| RAD50 | 46 | 57114 | 86 | 52948 | 0.50 | 0.34-0.72 | 0.00011 |
| RAD51C | 27 | 57114 | 31 | 53293 | 0.81 | 0.47-1.41 | 0.43 |



Results

- Confirms ORs in known highly penetrant genes- *TP53, PTEN, CDH1*
- Supports recent shift of *PALB2* to high risk breast cancer gene
- Supports recent consideration of breast MRI for *ATM* and *PALB2*
- Moderate risk breast cancer genes
 - *BARD1*
 - *RAD51D*
 - *MSH6*
- Not associated with moderate or high risks
 - *NBN*
 - *RAD50*
 - *MRE11A*
 - *BRIP1*
 - *RAD51C*



Implications for Medical Management

| Consider/Recommend Breast MRI | Discuss Option of RRM/ Consider based on family history | Unknown or insufficient evidence for BC risk | No increased BC Risk |
|-------------------------------|--|--|----------------------|
| <i>ATM</i> | <i>ATM</i> ← | <i>BARD1</i> ← | <i>BRIP1</i> |
| <i>BRCA1</i> | <i>BRCA1</i> | <i>MLH1</i> | |
| <i>BRCA2</i> | <i>BRCA2</i> | <i>MSH2</i> | |
| <i>CDH1</i> | <i>CDH1</i> | <i>MRE11A</i> | |
| <i>CHEK2</i> | <i>PALB2</i> ← | <i>MSH6</i> ← | |
| <i>NBN</i> | <i>PTEN</i> | <i>PMS2</i> | |
| <i>NF1</i> | <i>TP53</i> | <i>RAD50</i> | |
| <i>PALB2</i> | | <i>RAD51C</i> | |
| <i>PTEN</i> | | <i>RAD51D</i> ← | |
| <i>STK11</i> | | | |
| <i>TP53</i> | | | |

Ref: NCCN Guidelines Version 1.2017 - Sept 19, 2016



The landscape of somatic genetic alterations in BRCA1 and BRCA2 breast cancers

Burke KA, Macedo GS, Piscuoglio GS, Ng CK, **Geyer FC**, Martelotto LG, Papanastasiou AD, De Filippo MR, Schultheis AM, Brogi E, Robson M, Wen YH, Weigelt B, Schnitt SJ, Tung N & Reis-Filho JS



Memorial Sloan Kettering
Cancer Center

What is the second hit?

- **29 BRCA1 and 10 BRCA2 cases**
- **High-indepth sequencing and mutational and copy number analysis**

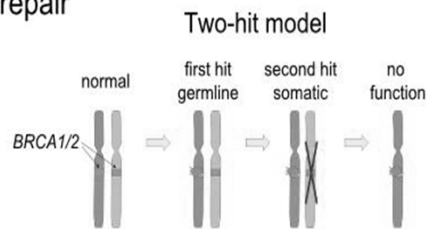
BRCA1 and BRCA2 tumorigenesis

What do these genes have in common?

1) Key players in homologous recombination (HR) DNA repair

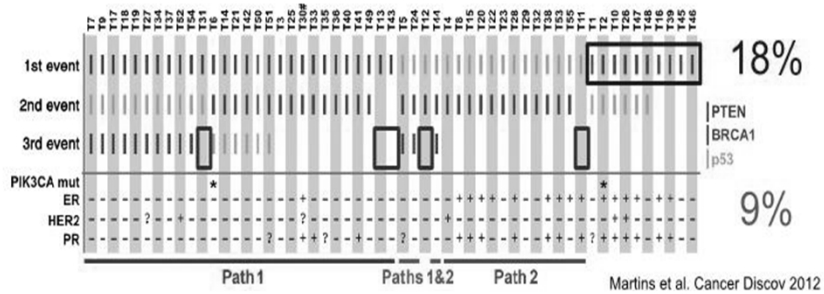
2) HR deficiency (HRD) is caused by two 'hits'

- Second hit:
 - LOH
 - Somatic mutation

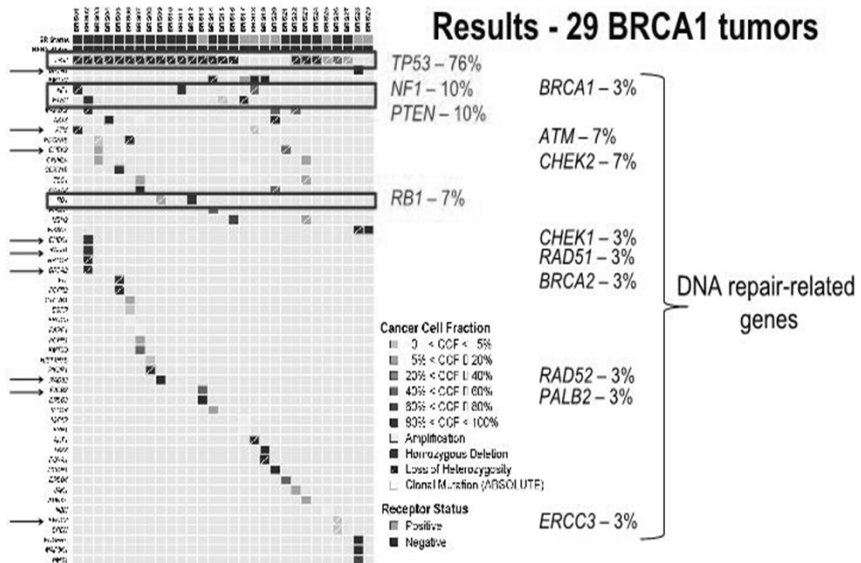


Chronology of somatic genetic events

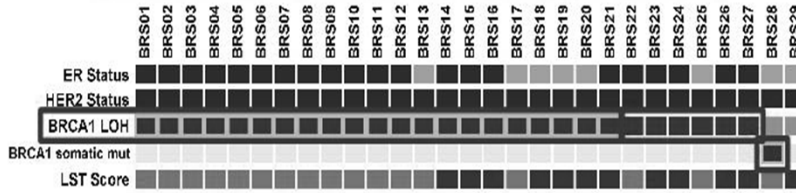
1. Somatic loss of *BRCA1* WT allele is considered to be crucial for *BRCA1* oncogenesis.
2. Complete loss of *BRCA1* is embryonically lethal.



Results - 29 BRCA1 tumors



Second *BRCA1* hit in *BRCA1* tumors



Receptor Status

- Positive
- Negative

BRCA1 LOH

- LOH
- No LOH
- Clonal LOH (FACETS)

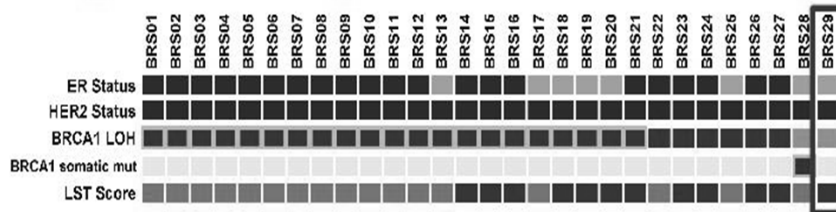
LST Score

- Low (<14)
- High (>14)

| | |
|--------------------------------------|-------------|
| Bi-allelic <i>BRCA1</i> inactivation | 28/29 (97%) |
| Clonal <i>BRCA1</i> LOH | 21/29 (72%) |
| Clonal <i>BRCA1</i> somatic mutation | 1/29 (3%) |
| Subclonal <i>BRCA1</i> LOH | 6/29 (21%) |

No differences in the frequency of bi-allelic *BRCA1* inactivation according to ER status

Single case lacking bi-allelic *BRCA1* inactivation



Receptor Status

- Positive
- Negative

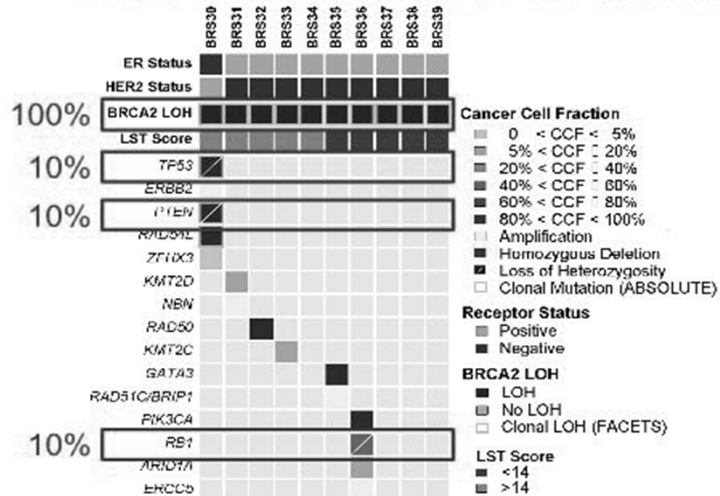
BRCA1 LOH

- LOH
- No LOH
- Clonal LOH (FACETS)

LST Score

- Low (<14)
- High (>14)

Results 10 BRCA2 tumors



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Main conclusion

- **BRCA1**
 - TP53 mutation most common
 - Second hit
 - » Present in most ER+/ER- tumors
 - » Mainly by LOH of the WT allele
 - » Clonal in the majority of cases (75%)
- **BRCA2**
 - Genetically heterogeneous, without a highly recurrently altered gene
 - Second hit
 - » All cases had clonal LOH of the WT allele

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Does BRCA status affect outcome in young breast cancer patients? Results from the POSH prospective study

Diana Eccles, Ellen Copson, Tom Maishman, Will Tapper, Ramsey Cutress, Stephanie Greville-Heygate, Bryony Eccles, Sue Gerty, Louise Jones, Douglas G Altman, Lorraine Durcan, Peter Simmonds, Jamie Allen, Craig Luccarini, Doug Easton, Alison Dunning, POSH study steering group and collaborators

- **126 UK NHS clinics 2000-2008**
- **Invasive BC diagnosed <40 yrs old**
- **2759 pts included in the analysis**
- **379/2759 (14%) had BRCA1 or 2 mutations, or both**

Pre-specified Statistical Analysis Plan

- Early stage disease known BRCA status
- Primary outcome – OS in (BRCA+) versus (BRCA-)
- Secondary outcomes – OS and DDFS including

– All patients

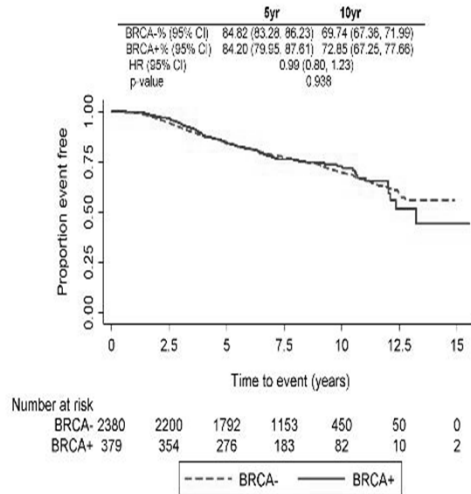
- BRCA1+ versus BRCA1-
- BRCA2+ versus BRCA2-

– TNBC patients only

- BRCA+ versus BRCA-

} Adjusted for age, grade, stage, ER, HER2, BMI

Primary outcome (all) BRCA+ v BRCA-



Overall Survival

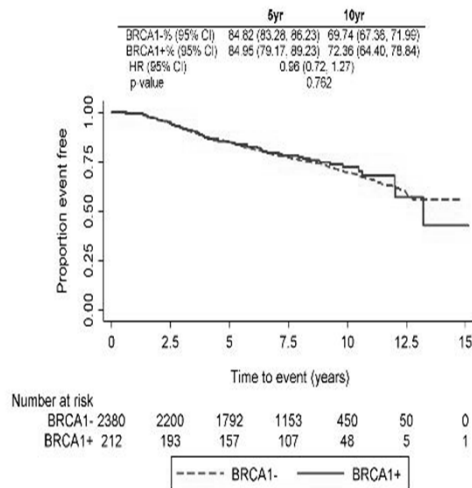
UVA HR 0.99 (0.80 to 1.23)
p=0.938

MVA HR 0.96 (0.77 to 1.21)
p=0.742

Median follow up time (years) =
8.2 (0.4-15.6)

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All cases - BRCA1 versus non-carriers



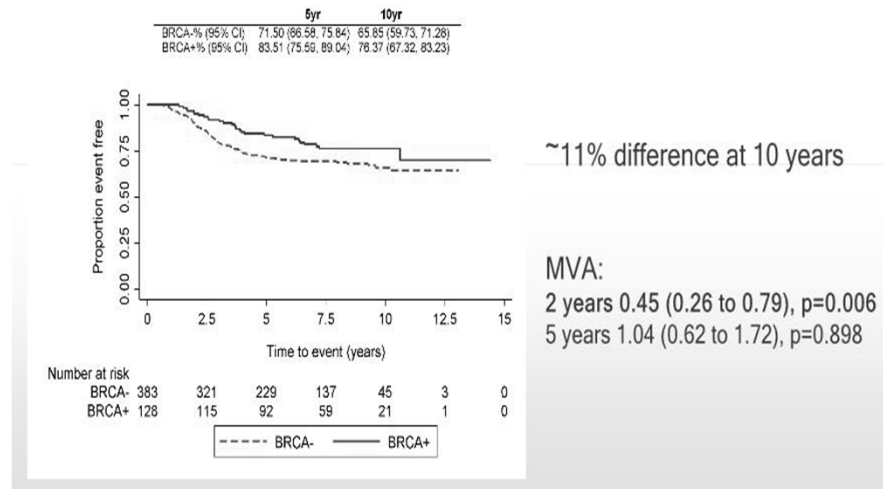
Overall Survival

UVA HR 0.96 (0.72 to 1.27)
p=0.762

MVA HR 0.93 (0.69 to 1.25)
p=0.608

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TNBC - overall survival

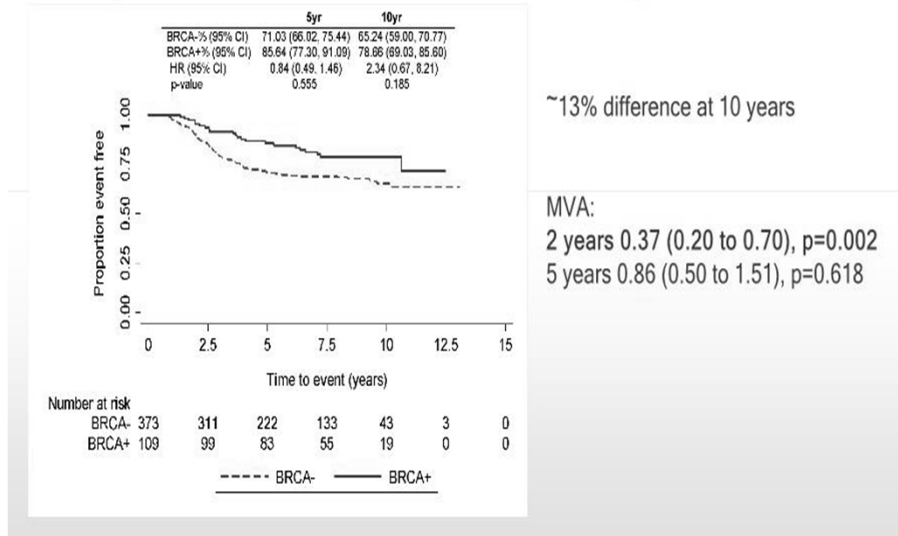


Triple negative breast cancer cases

| Treatment type | All TNBC n=511 | BRCA+ n=128 | BRCA- n=383 | BRCA+ vs BRCA- |
|-----------------------------|-------------------|----------------|----------------|----------------|
| Breast conservation | 301 (59%) | 71 (55%) | 230 (60%) | p=0.362 |
| Unilateral mastectomy | 206 (40%) | 55 (43%) | 151 (39%) | p=0.479 |
| Anthracyclines | 345 (68%) | 90 (70%) | 255 (67%) | p=0.435 |
| A + T | 151 (30%) | 33 (26%) | 118 (31%) | p=0.280 |
| Bilateral mastectomy | | | | |
| <1 year after diagnosis | 29 (6%) | 19 (15%) | 10 (3%) | p<0.001 |

Percentages and tests performed on complete data

TNBC patients – no bilateral mastectomy



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Is there a difference?

- There is no significant difference in survival between BRCA gene carriers and non-carriers amongst all young breast cancer patients.
- There is a consistent 11% difference in survival in favour of BRCA gene carriers presenting with a TNBC
- Since the survival benefit is only apparent in TNBC cases, we would have needed **1,116** patients with TNBC for an 11% difference to reach statistical significance.
- Bilateral mastectomy soon after diagnosis does not improve survival in young BRCA gene carriers.

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Worse Breast Cancer Prognosis of BRCA1/BRCA2 Mutation Carriers: What's the Evidence? A Systematic Review with Meta-Analysis

- **66 studies were included**
- **In contrast to currently held beliefs of some oncologists, current evidence does not support worse breast cancer survival of BRCA1/2 mutation carriers in the adjuvant setting; differences if any are likely to be small.**

van den Broek AJ, Schmidt MK, van 't Veer LJ, Tollenaar RAEM, van Leeuwen FE (2015) PLoS ONE 10(3): e0120189. doi:10.1371/journal.pone.0120189



Hereditary BC summary

- **Large cohort of genetic testing from Ambry Genetics supports the inclusion of TP53, PTEN, CDH1 and PALB2 as high risk genes, MRI screening and RRM may be considered based on family hx**
- **BRCA mutation second hit is caused mainly by LOH of the WT allele, with TP53 mutation being the most common in BRCA1 BC while there is no recurrently mutated gene in BRCA2 BC**
- **BRCA mutation status does not affect the survival of young women with EBC**

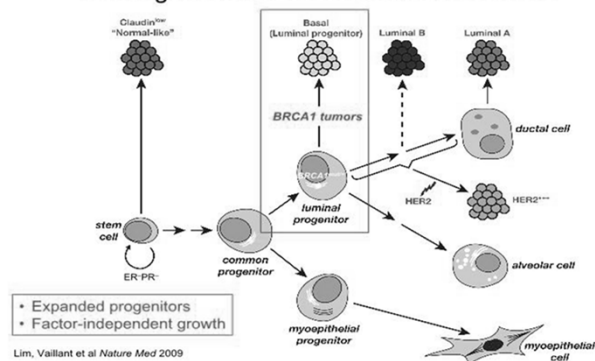


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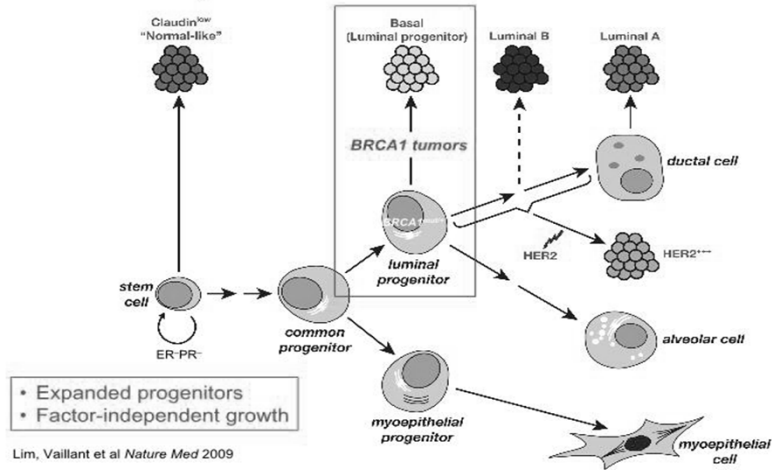
RANK ligand as a target for BC prevention in BRCA1 mutation carriers

Aberrant luminal progenitors are the likely target for tumorigenesis in *BRCA1* mutation carriers



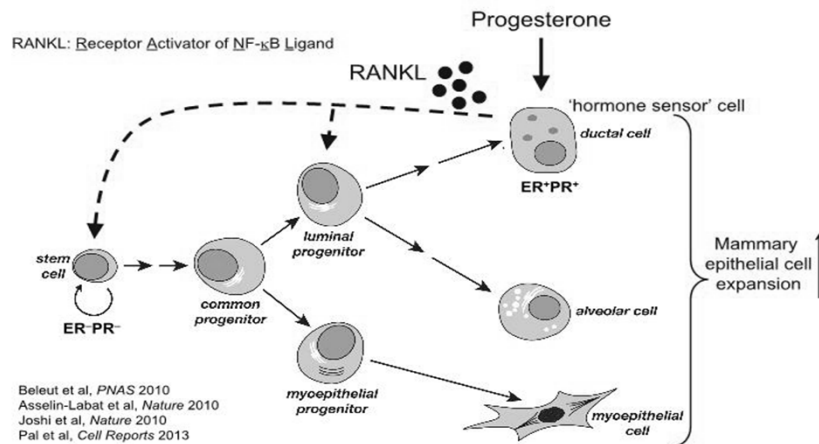
Emma Nolan et al, S2-04

Aberrant luminal progenitors are the likely target for tumorigenesis in *BRCA1* mutation carriers



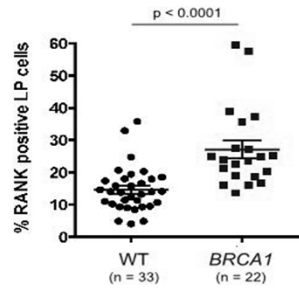
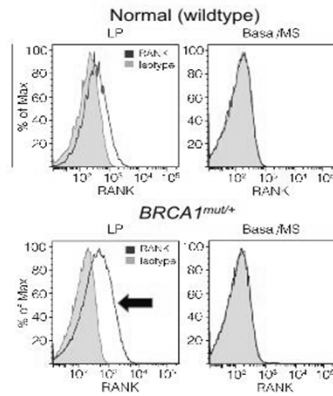
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RANKL has emerged as a key effector of progesterone signaling to stem/progenitor cells in mice



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The receptor for RANKL, RANK, is expressed in the luminal progenitor subset



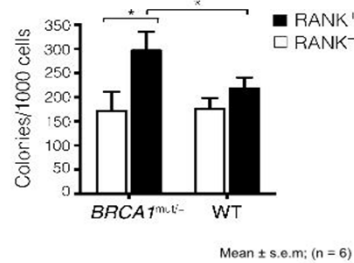
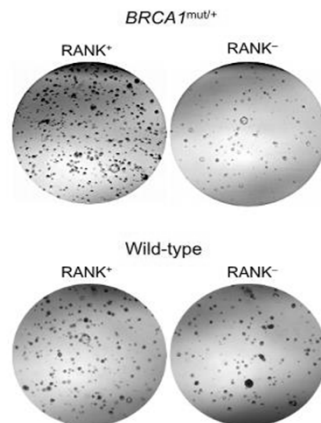
Emma Nolan

Prominent RANK expression in progenitors from BRCA1 mutation carriers

kConFab

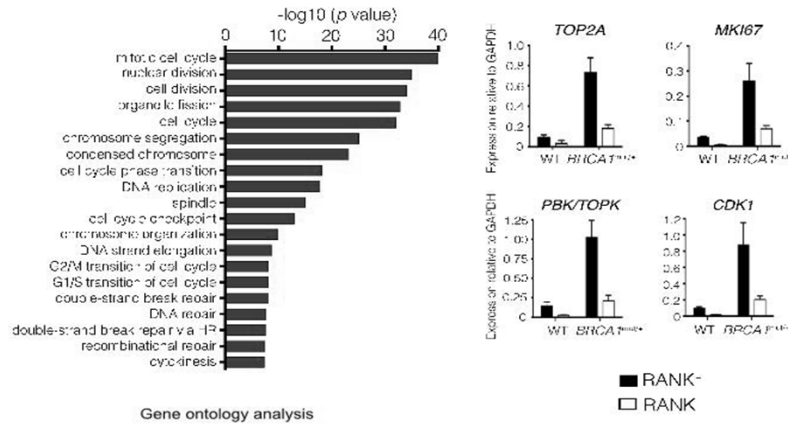
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RANK⁺ progenitors from ostensibly normal (preneoplastic) tissue exhibit enhanced clonogenic activity

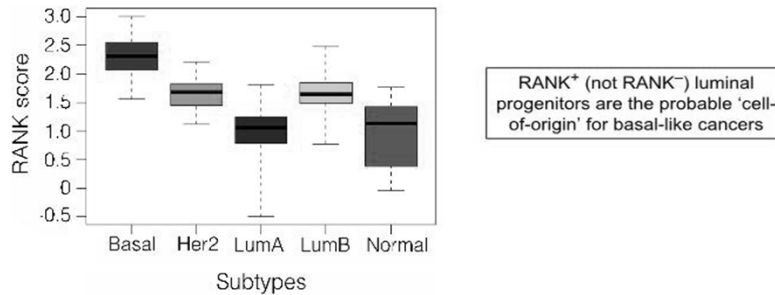


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RANK⁺ luminal progenitors have enhanced mitotic activity



RANK⁺ gene signature correlates with basal-like cancers



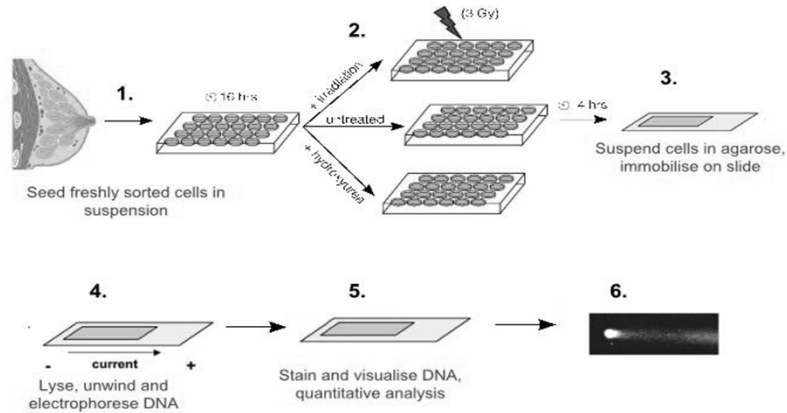
RANK⁺ (not RANK⁻) luminal progenitors are the probable 'cell-of-origin' for basal-like cancers

RANK⁺ progenitors represent a key target population



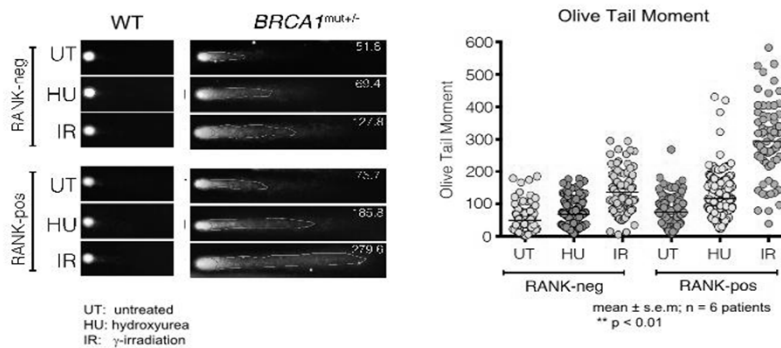
Are RANK⁺ cells prone to acquiring DNA damage?

- RANK⁺ and RANK⁻ luminal progenitors isolated from WT and *BRCA1*^{mut/+} breast tissue
- **Comet assays** performed 4 hrs following γ -irradiation (IR; 3 Gy) or hydroxyurea (HU)



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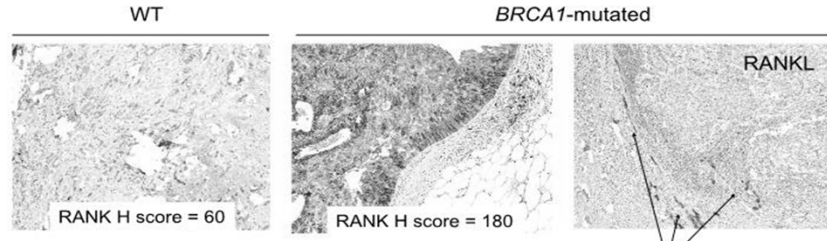
BRCA1^{mut/+} RANK⁺ progenitors are deficient in DNA repair



Heterozygous BRCA1 breast epithelium exhibits defective DNA repair, most prominent in RANK⁺ luminal progenitors

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RANK expression remains prominent in *BRCA1*-associated tumors



| Genotype | RANK ⁺ |
|--------------|-------------------|
| WT | 10% (31/311) |
| <i>BRCA1</i> | 42% (61/144) |
| <i>BRCA2</i> | 15% (17/115) |

H-score:
intensity x % RANK-positive cells

kConFab

Dan Branletter
Bill Dougall

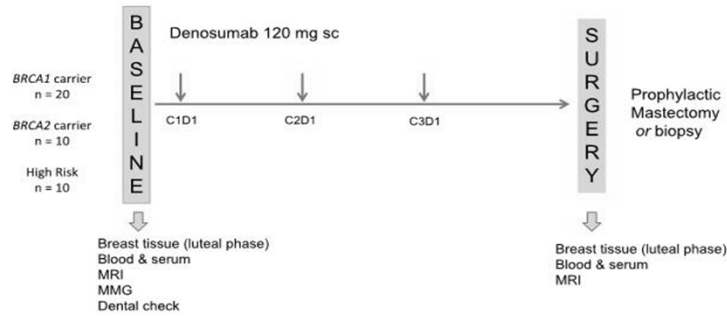
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transbcr

'BRCA-D' pilot study

Investigator-initiated study
ACTRN12614000694617

A pre-operative window study evaluating the biological effects of Denosumab on normal breast tissue from *BRCA1* and *BRCA2* mutation carriers and high-risk women



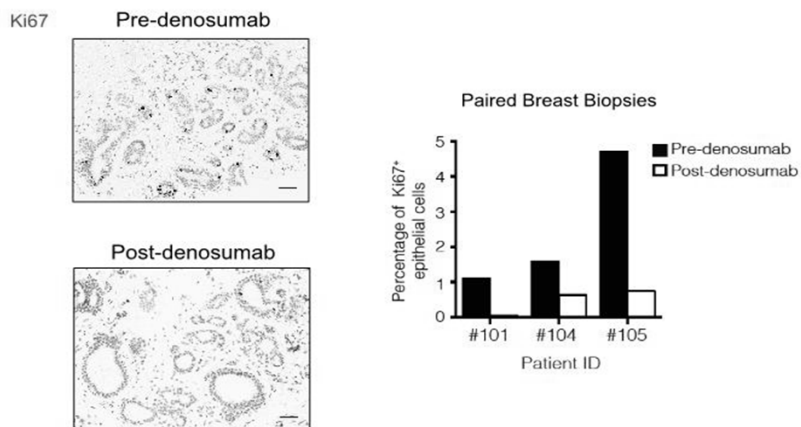
Primary endpoint: Reduction in median Ki67% in *BRCA1* carriers
 Secondary endpoint: Feasibility, safety, bone turnover, MRI BPE
 Exploratory: Gene profiling, FACS, CFCs, SNP, serum RANKL/OPG



Sheau Wen Lok, Bruce Mann, Alice Bergin, Kylie Shackleton

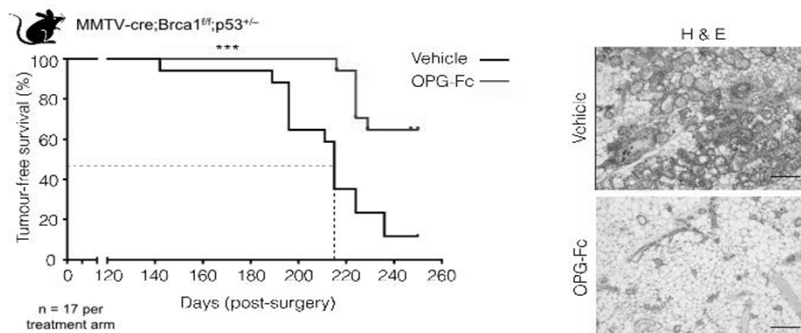
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BRCA-D patient biopsies



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RANKL inhibition delays tumor development in mouse models



- Tumor onset delayed in mice treated with the RANKL inhibitor OPG-Fc ($p = 0.0002$)
- Median tumor onset not reached in OPG-Fc group, 11/17 (65%) mice tumor-free
- Dramatically reduced hyperplasia in OPG-Fc treated mice

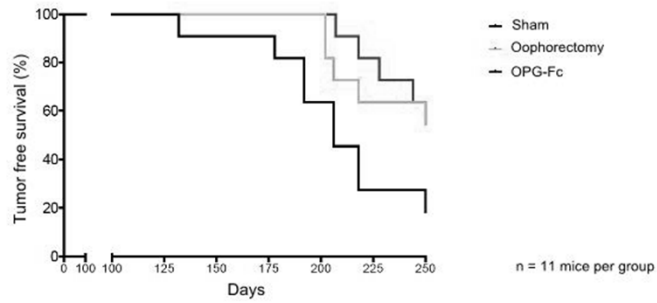
Noian et al, *Nature Med* 2016
& Sigl et al, *Cell Research* 2016

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RANKL inhibition and oophorectomy curtail tumor development in a *Brca1* mouse model



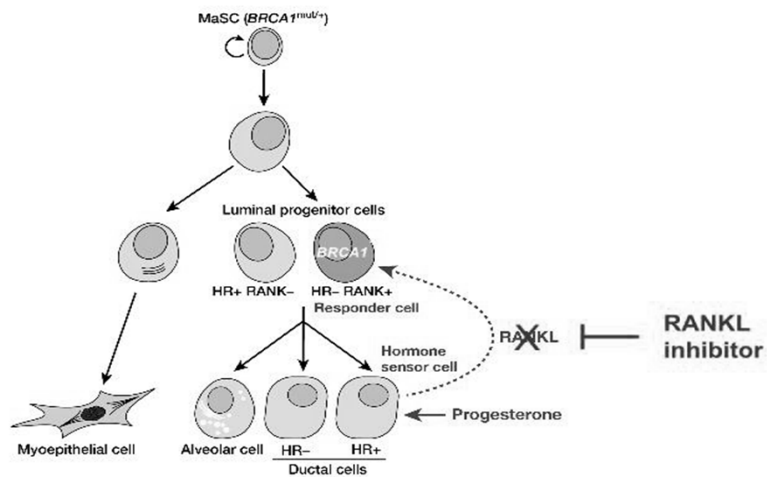
MMTV-cre;Brca1^{fl/fl};p53^{-/-}



RANKL inhibition and oophorectomy appear effective in attenuating tumor development in *Brca1* mouse models

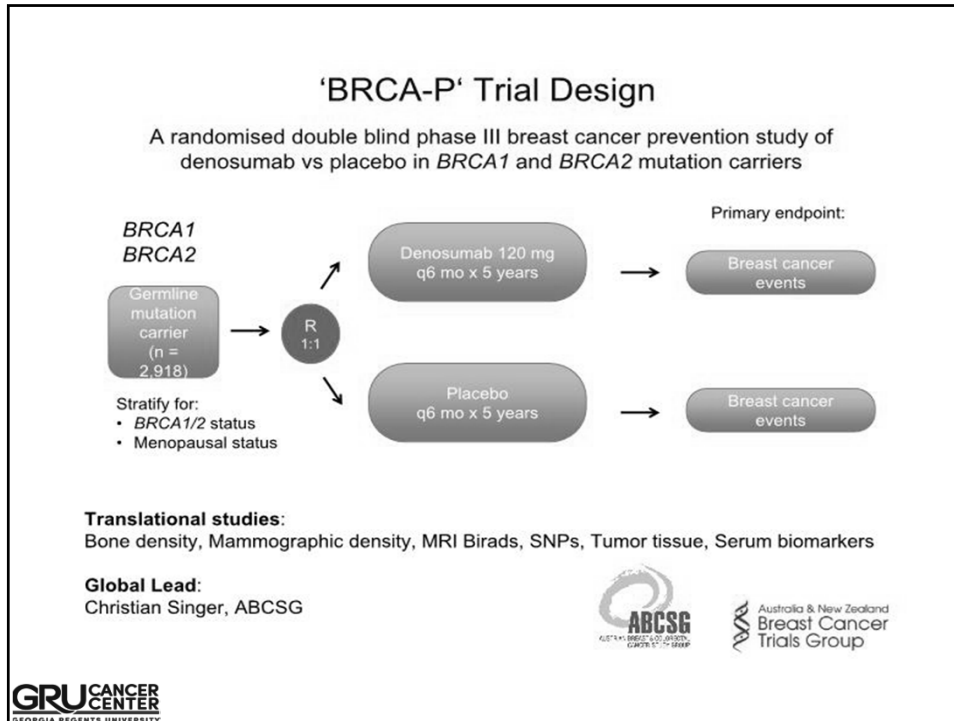


Switching off progenitor cells as a breast cancer prevention strategy in *BRCA1* mutation carriers



Nolan et al, *Nature Med* 2016





- ## Bone modulating agents and survival in EBC
- **Adjuvant bisphosphonate treatment in early breast cancer: meta-analyses of individual patient data from randomized trials (EBCTCG, Lancet 2015)**
 - **Denosumab Improves Disease-free Survival for Postmenopausal Patients With HR-positive Breast Cancer, ABCSG-18 (M. Gnant et al, SABCS 2015)**
 - **Ongoing D-CARE trial in EBC**
 - **Use of adjuvant bone modulating agents for survival gain warrants discussion with pts with EBC**
- GRU CANCER CENTER**
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**International MEta-analysis
of circulating tumor cell detection
in early breast cancer pts
treated by NEOadjuvant chemotherapy (IMENEO study)**

FC Bidard*, S Michiels, V Mueller, S Riethdorf, LJ Esserman, A Lucci, B Naume, J Horiguchi, R Gisbert-Criado, S Sleijfer, M Toi, JA Garcia-Saenz, A Hartkopf, D Generali, F Rothé, J Smerage, L Muinelo, J Stebbing, P Viens, M Magbanua, CS Hall, O Engebraaten, D Takata, J Vidal-Martínez, W Onstenk, N Fujisawa, E Diaz-Rubio, FA Taran, MR Cappelletti, M Ignatiadis, C Proudhon, D Wolf, J Bowman Bauldry, E Borgen, R Nagaoka, V Carañana, J Kraan, M Maestro, SY Brucker, K Weber, F Reyat, D Amara, MG Karhade, RR Mathiesen, H Tokiniwa, A Llombart-Cussac, K d'Hollander, P Cottu, JW Park, S Loibl, JY Pierga, K Pantel

* Medical Oncology, Institut Curie, Paris, France

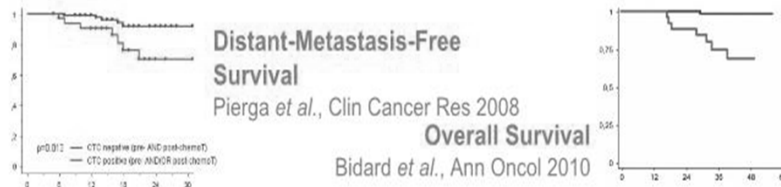
S 03-01

CTCs in neoadjuvant setting

First study with CellSearch®, N=118 pts (REMAGUS02)

→ No significant association between CTC detection and pCR

→ Prognostic impact on:



**Numerous studies initiated worldwide
very few published / heterogeneous results**

Methods

- literature & abstracts search up to Dec 2014
- direct contact with all centers deemed to have eligible data:

- CTC count by CellSearch®
- Early BC pts treated with neoadjuvant chemotherapy (NCT)
- Survival (published or not)

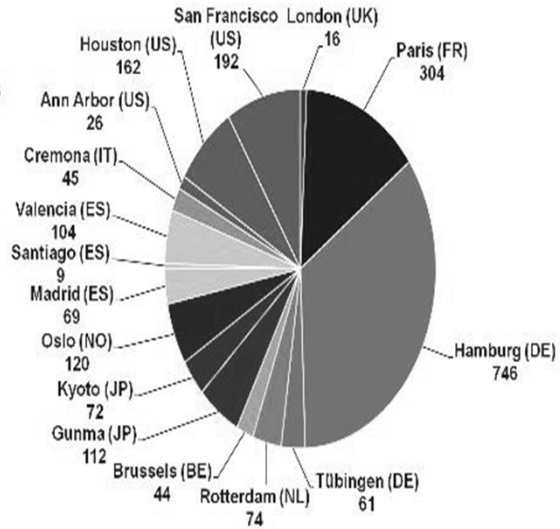
Non-overlapping CTC time points:

- [-5;0] weeks before NCT = baseline
- [1;8] weeks after start of NCT
- [-5;0] weeks before the surgery
- [1;52] weeks after surgery

Statistics

Cox regression models (stratified by study) & landmark method
Overfitting bias of multivariate prognostic models (used to report average increases in likelihood ratio) was limited by resampling procedures

Data collection



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CTC detection

| | N patients | ≥1 CTC | ≥2 CTC | ≥5 CTC |
|----------------|------------|--------|--------|--------|
| Before NCT | 1574 | 25.2% | 12.6% | 5.9% |
| Before surgery | 1200 | 15.1% | 5.3% | 1.0% |

Decrease during NCT: p<.0001

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CTC detection & baseline characteristics

| | N patients | ≥1 CTC | ≥2 CTC | ≥5 CTC | continuous |
|------------------|-------------|-------------------|-------------------|-------------------|-------------------|
| Before NCT | 1574 | 25.2% | 12.6% | 5.9% | |
| cT size | | p<.0001 | p<.0001 | p<.0001 | p<.0001 |
| cT1 | 122 (7.9%) | 18.9% | 8.2% | 3.3% | |
| cT2 | 770 (49.8%) | 22.3% | 10.3% | 3.5% | |
| cT3 | 343 (22.2%) | 24.2% | 12.2% | 6.1% | |
| cT4a-c | 108 (7.0%) | 28.7% | 16.7% | 8.3% | |
| cT4d | 204 (13.2%) | 41.2% | 24.5% | 15.7% | |
| cN status | | p=.051 | p=.021 | p=.009 | p=.024 |
| cN0 | 656 (41.9%) | 22.7% | 10.4% | 4.1% | |
| cN+ | 911 (58.1%) | 27.1% | 14.4% | 7.2% | |
| Subgroup | | p=.23 | p=.028 | p=0.54 | p=.12 |
| HER2+ | 365 (23.2%) | 24.1% | 11.0% | 4.7% | |
| HR+ | 800 (51.0%) | 24.1% | 11.5% | 5.3% | |
| Triple Neg. | 405 (25.8%) | 28.4% | 16.5% | 8.4% | |

CTC detection: association with pCR

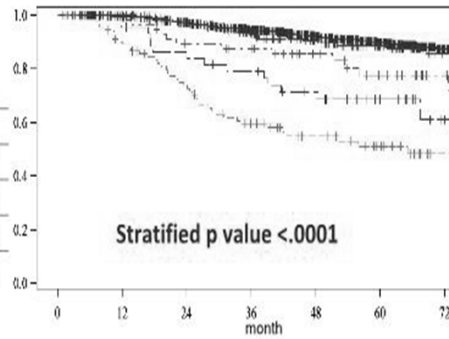
pCR was defined as ypT0/isN0 in 92.5% of patients (N=1916/2072)

pCR was observed in 24.3% of patients (N=503/2072)

| | N patients | ≥1 CTC | ≥2 CTC | ≥5 CTC | continuous |
|---------------------------|--------------|---------------|--------------|--------------|--------------|
| CTC before NCT | | p=.076 | p=.65 | p=.90 | p=.10 |
| pCR | 374 (24.0%) | 21.7% | 12.0% | 6.1% | |
| No pCR | 1183 (76.0%) | 26.3% | 13.0% | 5.9% | |
| CTC before surgery | | p=.45 | p=.13 | p=.53 | p=.52 |
| pCR | 300 (26.3%) | 13.7% | 7.0% | 1.3% | |
| No pCR | 841 (73.7%) | 15.7% | 4.6% | 1.0% | |

CTC before NCT & Overall Survival

| | N pts | % Events | Hazard Ratio |
|---------|-------|----------|------------------|
| 0 CTC | 1175 | 9.8% | 1 |
| 1 CTC | 199 | 10.6% | 1.09 [0.65-1.69] |
| 2 CTC | 59 | 23.7% | 2.63 [1.42-4.54] |
| 3-4 CTC | 47 | 29.8% | 3.84 [2.08-6.66] |
| ≥ 5 CTC | 93 | 46.2% | 6.25 [4.34-9.09] |

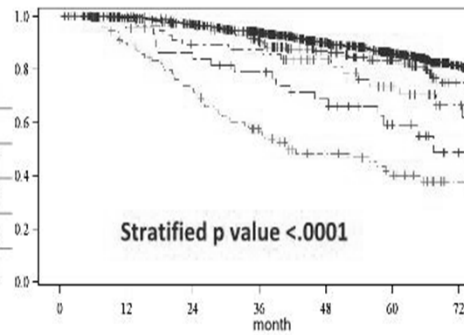


Same HR observed without T4d tumors
No interaction found with tumor subtype



CTC before NCT & Distant Disease-Free Survival

| | N pts | % Events | Hazard Ratio |
|---------|-------|----------|------------------|
| 0 CTC | 1175 | 14.6% | 1 |
| 1 CTC | 199 | 18.1% | 1.19 [0.81-1.69] |
| 2 CTC | 59 | 33.9% | 2.44 [1.47-3.84] |
| 3-4 CTC | 47 | 38.3% | 3.44 [1.96-5.55] |
| ≥ 5 CTC | 93 | 58.1% | 5.00 [3.57-7.14] |

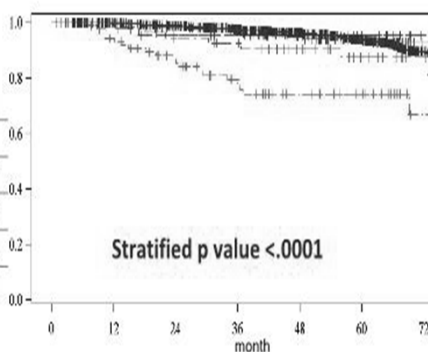


Same HR observed without T4d tumors
No interaction found with tumor subtype



CTC before NCT & Locoregional Relapse-Free Interval

| | N pts | % Events | Hazard Ratio |
|---------|-------|----------|------------------|
| 0 CTC | 1175 | 6.7% | 1 |
| 1 CTC | 199 | 6.0% | 0.89 [0.46-1.61] |
| 2 CTC | 59 | 15.3% | 2.43 [1.12-4.76] |
| 3-4 CTC | 47 | 4.3% | 1.23 [0.20-4.00] |
| ≥ 5 CTC | 93 | 22.6% | 4.16 [2.32-6.66] |



Same HR observed without T4d tumors
No interaction found with tumor subtype

Overall clinical validity (threshold ≥2 CTC)

Multivariate analyses

| Time point | | OS | |
|---------------------|------------|-------------|--------|
| | | HR | p |
| CTC at baseline | | 4.19 | <.0001 |
| (landmark analysis) | | [2.97-5.88] | |
| cT | T3-T4 | 1.49 | .0023 |
| | T4d | 2.94 | |
| cN | cN1 | 1.65 | .0045 |
| Subgroup | HER2+ | 1.69 | <.0001 |
| | Triple Neg | 5.24 | |
| pCR | No | 5.88 | <.0001 |

Overall clinical validity (threshold ≥ 2 CTC)

Multivariate analyses

| Time point | OS | | DDFS | | LRFI | |
|---|---------------------|--------|---------------------|--------|---------------------|--------|
| | HR | p | HR | p | HR | p |
| CTC at baseline <i>(landmark analysis)</i> | 4.19 [2.97-5.88] | <.0001 | 3.79 [2.84-5.03] | <.0001 | 3.20 [1.93-5.19] | <.0001 |
| CTC [-5;0]w before surgery <i>(landmark analysis)</i> | 2.56 [1.45-4.23] | .0020 | 2.69 [1.67-4.12] | <.0001 | 1.05 [0.32-2.55] | .92 |

Conclusion

- Post-neoadjuvant survival does not exclusively rely on breast cancer characteristics & pCR
- CTC number-dependent impact on OS, DDFS and LRFI
significant above ≥ 2 CTC/7.5ml
- CTC complements *(but not duplicates)* usual prognostic factors
- Next steps:
 - clinical utility trials *(e.g. post-neoadjuvant therapy)*
 - further biological characterization

CTC in BC

- **MBC**
 - prognostic before and during therapy (Cristofanilli et al, NEJM 2004; Bidard et al, Lancet Oncol 2014)
 - Predictive (SWOG S0500, Smerage et al, SABCS 2013)
- **EBC**
 - Prognostic before therapy (Lucci et al, Lancet Oncol 2012; Rack et al, J Natl Cancer Inst 2014)
- **LABC**
 - Prognostic for survival but not predictive for pCR (SABCS 2016)
- **Ready for prime time? Not yet**



Tumor MicroEnvironment of Metastasis (TMEM) Score is Associated with Early Distant Recurrence in Hormone Receptor (HR) Positive, HER2-Negative Early Stage Breast Cancer

2016 SAN ANTONIO

J.A. Sparano, MD¹, R. Gray, PhD², M.H. Oktay, MD, PhD¹, D. Entenberg, MS³, T. Rohan, MBBS, PhD³, X. Xue, PhD⁴, M. Donovan, MD, PhD⁴, M. Peterson, MD⁵, A. Shuber⁵, D. Hamilton, BSc, MBA⁵, T. D'Alfonso, MD⁶, L. J. Goldstein, MD⁷, F. Gertler, PhD⁸, N. Davidson, MD⁹, J. Condeelis, PhD³, J. Jones, MD³

¹ Montefiore Medical Center, Albert Einstein College of Medicine, Bronx, NY.; ² ECOG-ACRIN Research Group, Boston, MA.; ³ Albert Einstein College of Medicine, Bronx, N.Y.; ⁴ Mt. Sinai School of Medicine, NY, NY; ⁵ MetaStat, Inc, Boston, MA.; ⁶ Weill Cornell Medical College, New York, NY; ⁷ Fox Chase Cancer Center, Philadelphia, PA; ⁸ Massachusetts Institute of Technology, Boston, MA; ⁹ University of Pittsburgh Cancer Institute, Pittsburgh, PA.

Montefiore

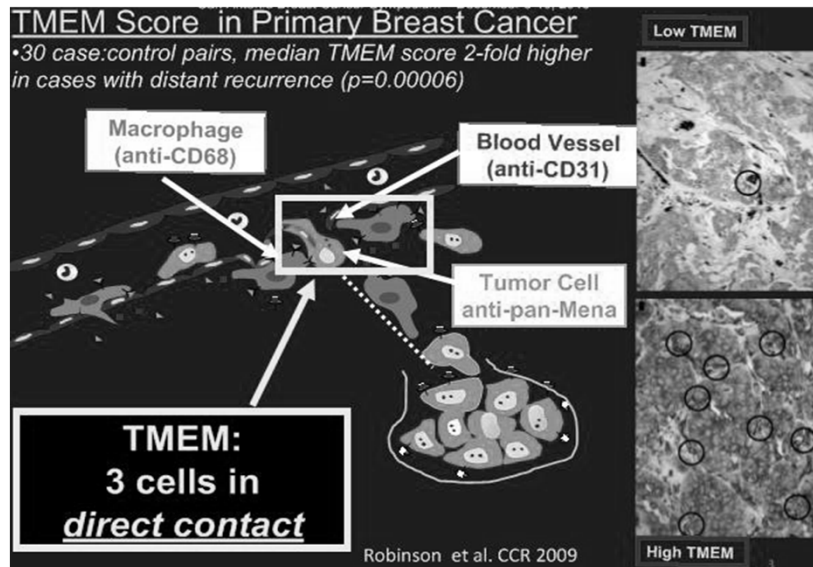
EINSTEIN

ECOG-ACRIN
cancer research group
Reshaping the future of patient care.

MetaStat

S 4-4





GRU CANCER CENTER
GEORGIA INSTITUTE OF TECHNOLOGY

TMEM Score is Associated with Distant Recurrence in Operable Breast Cancer

- 259 case:control pairs from population-based cohort
- 53% node negative, 46% received adjuvant chemotherapy
- No correlation with IHC4, nodal status, or tumor size
- TMEM score prognostic in ER+, HER2-neg disease in multivariate model including nodal status, size, and grade

| TMEM Score Tertile | Odds ratio (95% CI) |
|--------------------------------------|----------------------------|
| ≤6 | 1.00 (referent) |
| 7-22 | 1.32 (0.70 to 2.52) |
| ≥23 | 2.70 (1.39 to 5.26) |
| P trend | 0.004 |
| Continuous TMEM Score (per 10 units) | 1.16 (95% CI 1.03 to 1.30) |

Rohan et al. JNCI 2014

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Methods: Study Population, Endpoints & Objectives

- Methodology:
 - REMARK guidelines for biomarker development
 - Statistical plan: 80% power, 2-sided 5% significance level to detect at least a 2.2-fold higher risk of distant recurrence between highest vs. lowest tertile
- Study Population:
 - 600 women with stage I-III breast cancer in E2197 (2603 consented to research)
 - Treated with adjuvant AC or AT in E2197 (plus endocrine therapy if HR+)
- Clinical Endpoints:
 - Distant relapse free interval (DRFI): distant recurrence
 - Relapse free interval (RFI): distant or local/regional recurrence
- Study Objectives:
 - Aim 1: Association between (TMEM) MetaSite Score & DRFI (& RFI) by subtype
 - Aim 2 (HR+/HER2-): Correlation between MetaSite Score & Oncotype RS
 - Aim 3 (HR+/HER2-): Compare association between RS & MetaSite Score score with recurrence

Goldstein et al. J Clin Oncol 2008 ; Badve et al. J Clin Oncol 2008; McShane et al. JNCI 2005



Methods: MetaSite Breast™ Assay and Data Analysis

MetaStat, Inc Laboratory (Boston, MA)

•(CLIA ID No. 22D2094085)

Triple immunostain - 3 cells in direct contact

•Endothelial cells: anti-CD31 (AbCam/Epitomics Clone EP3095)

•Macrophages: anti-CD68 mouse ab (Thermo Scientific Clone PGM1)

•Mena: anti-Pan-Mena mouse ab (Gertler laboratory, MIT)

Imaging & Automation:

•Perkin Elmer Vectra 2

•Up to 100 20X images acquired in areas of invasive tumor

•InForm (PE) & VisioPharm software



MetaSite Score

•Sum of TMEM from the top 3 highest density 20X fields of-view

Data transfer & analysis

•TMEM score data sent to ECOG-ACRIN, merged with clinical dataset

•Statistical analysis done by ECOG-ACRIN biostatistician, using weighted analysis methods (Gray R. Lifetime Data Analysis, 2009)

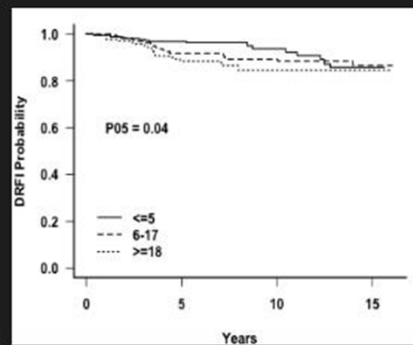


Results – Aim 1: Continuous MetaSite Score by Breast Cancer Subtype & Recurrence

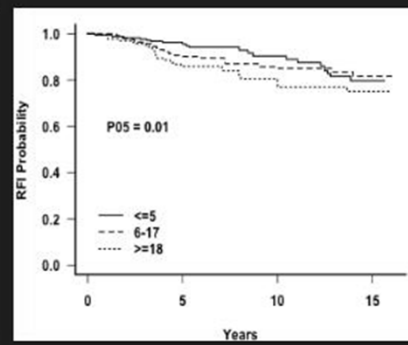
Weighted partial likelihood models were fit using cox proportional hazard function with variances adjusted for sampling, and time-varying coefficient models were examined

- Weighted mean MetaSite Score
 - Significantly lower in HR+, HER2- (16.1) than TNBC (23.8, $p=0.01$) and HER+ disease (26.2, $p=0.03$)
- Prognostic association with recurrence
 - No significant association in proportional hazards model in any breast cancer subtype over entire period
 - Not proportional over time in HR+, HER2- ($p=0.01$)
 - Significant association in years 0-5 for DRFI ($p=0.001$) and RFI ($p=0.006$) in HR+, HER2-, not years 5-10

Results – Aim 2 : Outcomes for MetaSite Score (by empirical tertiles for entire cohort) in HR+/HER2- Disease in Years 0-5

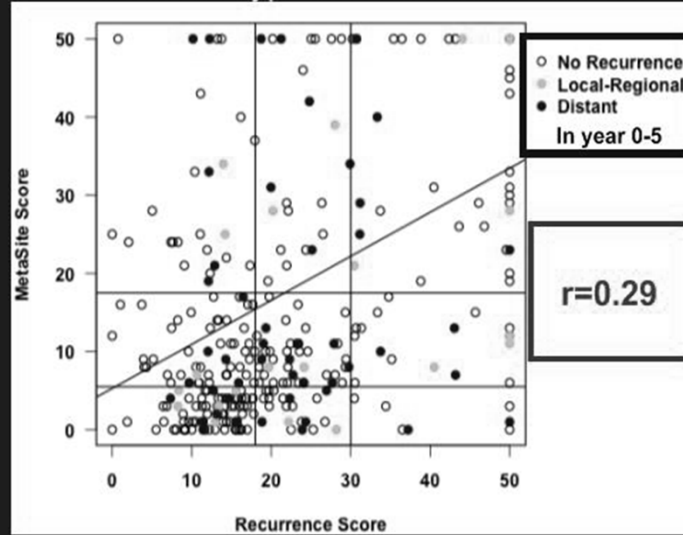


DRFI
($p=0.04$)



RFI
($p=0.01$)

Results – Aim 2: Correlation between MetaSite Score and Oncotype DX Recurrence Score



Conclusions: MetaSite Score-E2197 Clinical Trial Cohort

- Novel metastasis biomarker:
 - ✓ Interaction between breast cancer and microenvironment
 - ✓ Not driven by genes reflecting proliferation and ER signaling
- Analytic validity:
 - ✓ High degree analytical precision (AUC=0.97-0.99) and overall assay performance (AUC=0.91-0.96) in a CLIA lab (2016 SABCS - P2-05-06 12/8/16 7:30-9:00 AM)
- Clinical validity:
 - ✓ This is 3rd study showing association with recurrence
 - ✓ Prognostic independent tumor size, grade, nodal status, and Recurrence Score in HR+/HER2 disease
 - ✓ Complementary prognostic information to low/mid-range RS
 - ✓ Prognostic for early recurrence within 5 years

Outline

- Hereditary: S2-1-4
- Prevention: S2-04
- Biomarkers: S3-01, S4-04
- Genomics: S6-05

Comprehensive Comparison of Prognostic Signatures for Breast Cancer Recurrence in TransATAC

Ivana Sestak¹

Richard Buus², Jack Cuzick¹, Peter Dubsy³, Ralf Kronenwett⁴,
Sean Ferree⁵, Dennis Sgroi⁶, Catherine Schnabel⁷, Rick Baehner⁸,
Elizabeth Mallon², Mitch Dowsett²

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2. Ralph Lauren Centre for Breast Cancer Research, Royal Marsden, London, UK
3. Klinik St. Anna, Luzern, Switzerland
4. Sividon Diagnostics, Cologne, Germany
5. NanoString Technologies, Seattle, USA
6. Massachusetts General Hospital, Boston, USA
7. bioTheragnostics, San Diego, USA
8. GenomicHealth, Redwood City, USA

S 6-5

Aims

1. Prognostic performance of six signatures for distant recurrence in N- and N+ separately in transATAC:

In years 0-10 (chemotherapy)

In years 5-10 (extended endocrine therapy)

2. Added prognostic value of signatures to clinical variables

3. Clinically useful risk groups

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Prognostic signatures

| Signature | Information included |
|---|---|
| Clinical Treatment Score (CTS) | Nodal status, grade, tumour size, age, treatment |
| Immunohistochemical markers (IHC4) | ER, PgR, Ki67, HER2 |
| Oncotype Recurrence Score (RS) | 21 genes (oestrogen, proliferation, invasion, HER2 genes) |
| Breast Cancer Index (BCI) | H/I and 5 proliferation genes (Molecular Grade Index) |
| Prosigna (ROR) | 46 genes, proliferation score, tumour size (EU cut-offs from transATAC for N- and N+) |
| EndoPredict (EPclin) | 12 genes (proliferation, differentiation, oestrogen); nodal status and tumour size |

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Statistical analysis

- 818 postmenopausal women with ER+/HER2-negative disease
 - 5 years of tamoxifen or anastrozole, NO chemotherapy
 - 10 year median follow-up
 - Distant recurrence (DR) primary endpoint
 - Cox regression models used to determine prognostic value (LR- χ^2)
 - Commercial cut-offs used to determine 10 year DR risk
- All results presented for node-negative and node-positive patients separately

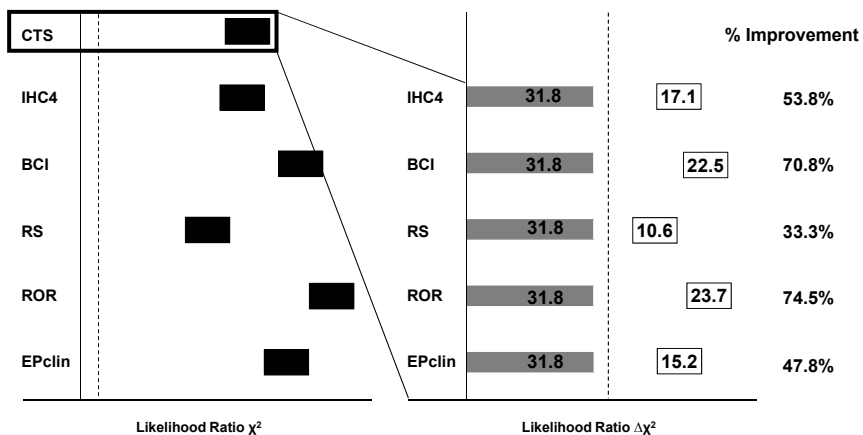
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Patient characteristics

| | Node-negative (N=591) | Node-positive (N=227) |
|----------------------------------|--------------------------|--------------------------|
| Mean age, years (SD) | 63.4 (7.9) | 67.2 (8.2) |
| Mean BMI, kg/m ² (SD) | 27.3 (4.9) | 27.1 (5.0) |
| Grade | | |
| 1 | 23.2% | 18.9% |
| 2 | 59.7% | 61.2% |
| 3 | 17.1% | 19.8% |
| Mean tumour size, mm (SD) | 17.6 (8.5) | 25.7 (13.6) |
| Distant recurrence | | |
| 0-10 years | 60 (10.2%) | 66 (29.1%) |
| 5-10 years | 34 (5.7%) | 31 (13.7%) |

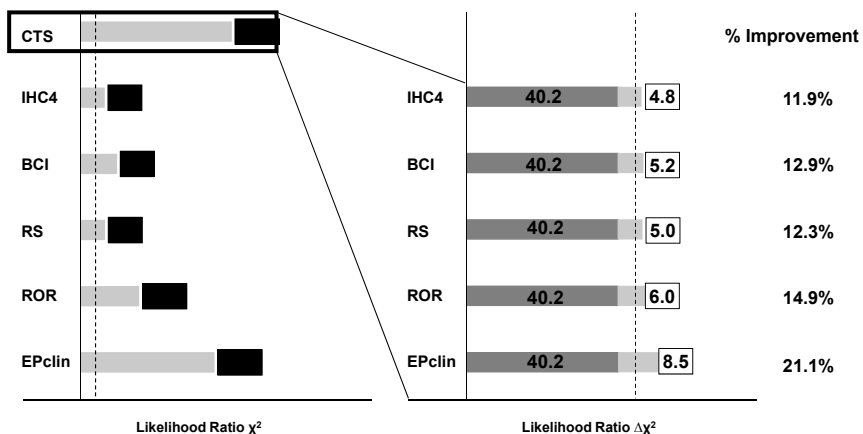
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Prognostic value years 0-10 – node-negative



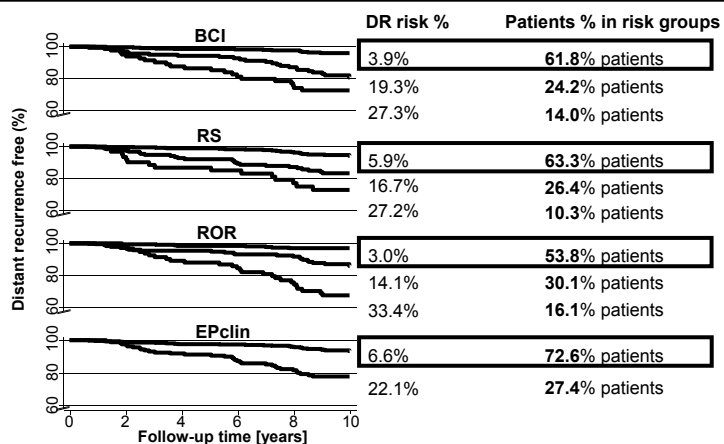
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Prognostic value years 0-10 – node-positive



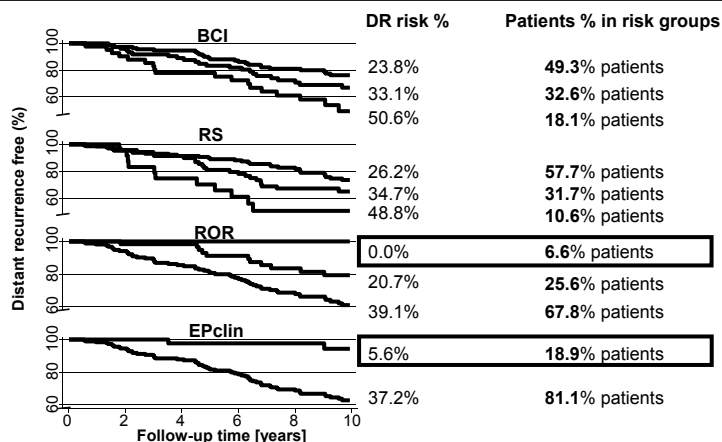
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DR free (%) in years 0-10 – node-negative



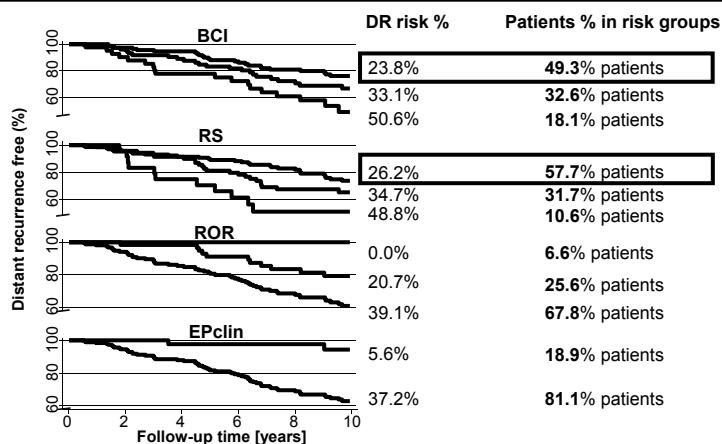
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DR free (%) in years 0-10 – node-positive



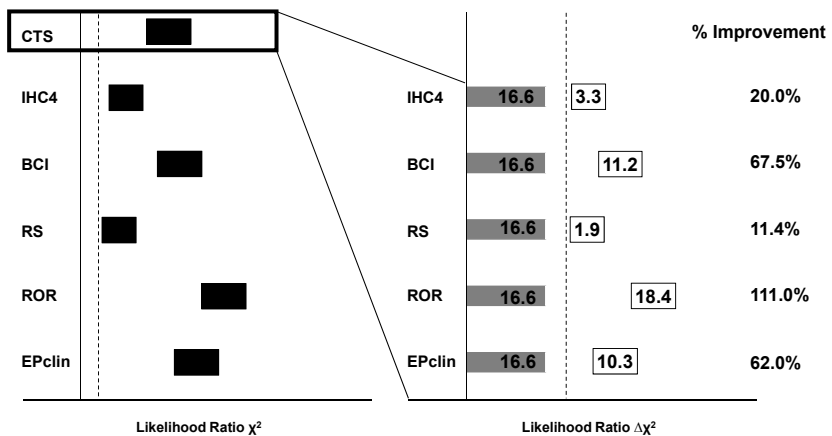
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DR free (%) in years 0-10 – node-positive



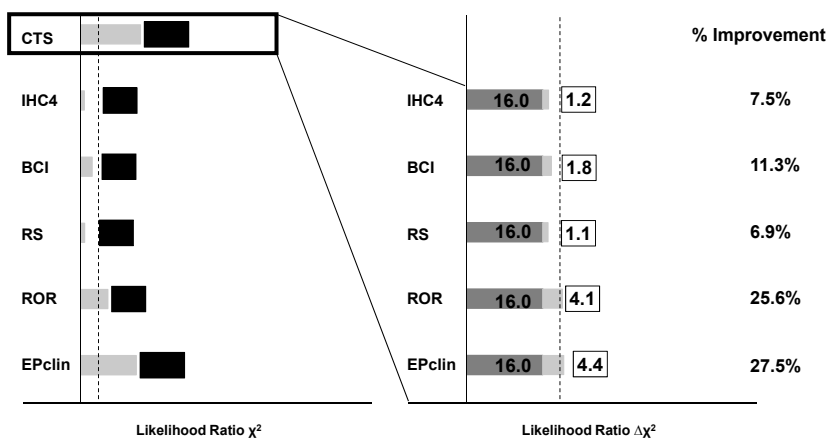
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Prognostic value years 5-10 – node-negative



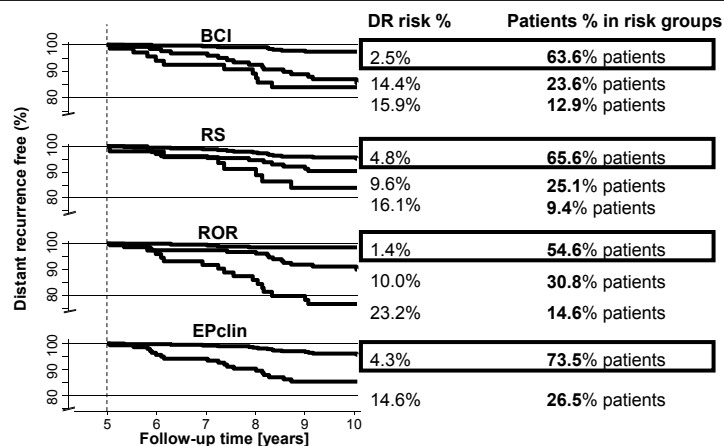
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Prognostic value years 5-10 – node-positive



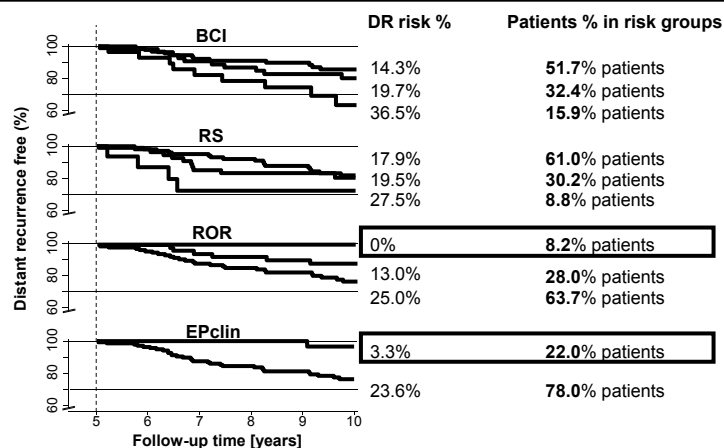
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DR free (%) in years 5-10 – node-negative



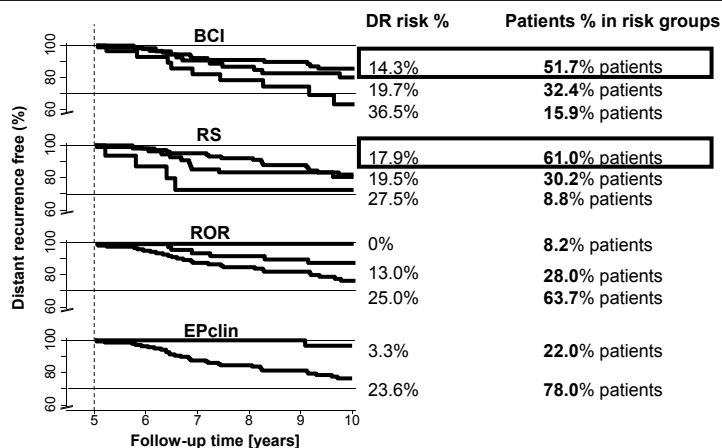
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DR free (%) in years 5-10 – node-positive



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DR free (%) in years 5-10 – node-positive



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Conclusions

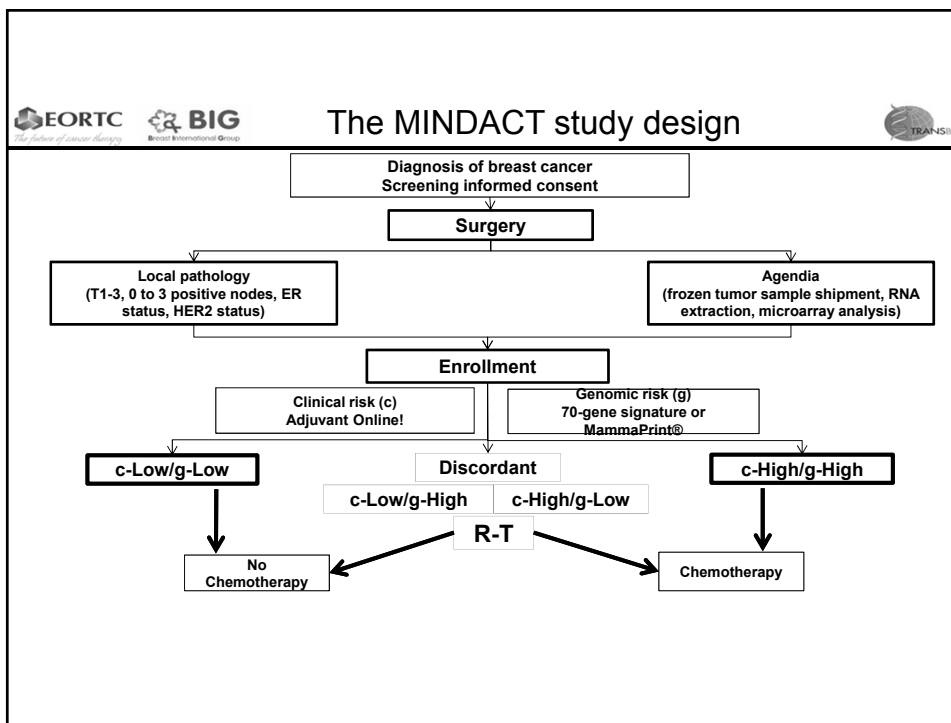
- Unique cohort with well annotated samples, mature clinical outcome, and prognostic information for six signatures
- Prediction of recurrence in years 0-10:
 - *Node-negative:*
 - All signatures good predictors and identify patients with a low DR risk
→ value of chemotherapy limited
 - *Node-positive:*
 - ROR/EPclin identify patients with low DR risk
→ value of chemotherapy limited

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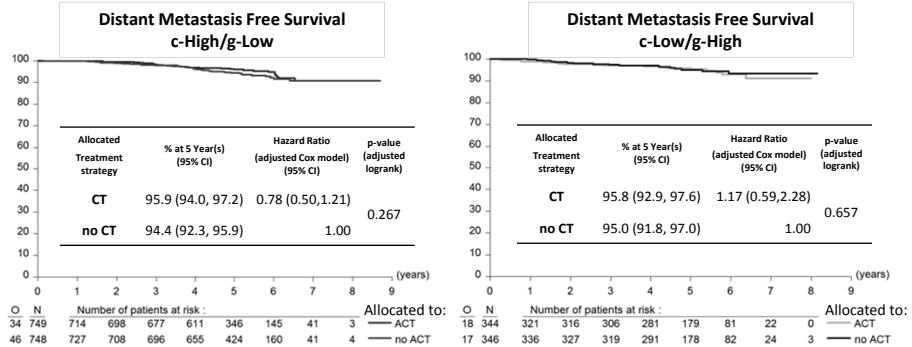
Conclusions II

- Prediction of recurrence in years 5-10:
 - *Node-negative:*
 - BCI, ROR and EPclin good predictors for late DR (above and beyond CTS)
 - All signatures identify patients with low risk of late DR
→ extended endocrine therapy not justified
 - *Node-positive:*
 - ROR/EPclin identify patients at low risk of late DR
→ extended endocrine therapy not justified
- Limitation:
 - CTS/IHC4 trained and ROR cut-off points estimated in transATAC
- Incorporation of certain clinical variables important

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Efficacy: CT vs no CT in discordant risk groups Intent-to-treat analysis



Other studies to compare different prognostic signatures

- **PAM50 is better differentiation of intermediate- and higher-risk groups than oncotype DX and IHC4** (M. Dowsett et al, J Clin Oncol. 2013)
- **EPclin is more prognostic than RS** (R. Buus et al, JNCI, 2016)
- **MP vs ODX concordance: low risk (66%), high risk (78%), intermediate ODX (MP 52% low, 48% high)**, (R. Maroun et al, McGill, ASCO 2015)
- **PAM50 vs ODX: moderate concordance** (CM Kelly et al, Oncologist, 2012)
- **PAM50, MammaPrint and Oncotype DX concordance, each test had significant prognostic value but individual risk assignments were often discordant** (A Prat et al, Ann Oncol. 2012)

Prognostic signatures in EBC

- **All signatures identify low risk pts with node-EBC in whom chemotherapy can be avoided**
- **Prognosis years 1-5: Oncotype DX or MammaPrint**
- **Prognosis years 6-10: BCI**
- **Ongoing RxPONDER trial (SWOG1007) will determine the utility of ODX in node+ setting**

Thank you!